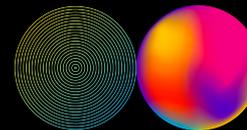


Recent Trends in Machine Learning: A Large-scale Perspective

A Short Introduction to **Multi-modal AI** Models (Part 3)

Saehoon Kim @ Kakaobrain



Outline of This Course

CLIP
Encoder-only

05/04

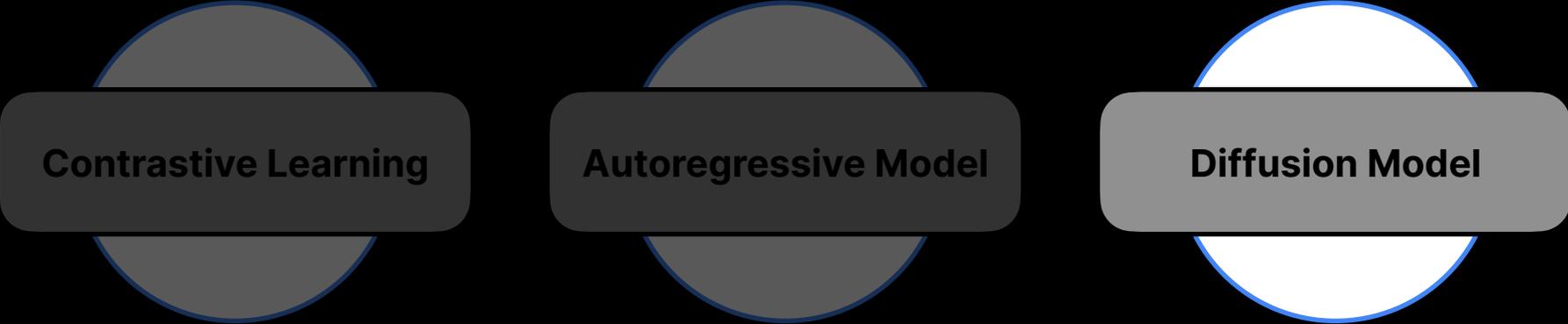
DALL-E
Decoder-only

05/11

DALL-E 2
Enc-Dec

05/18

Outline of This Course



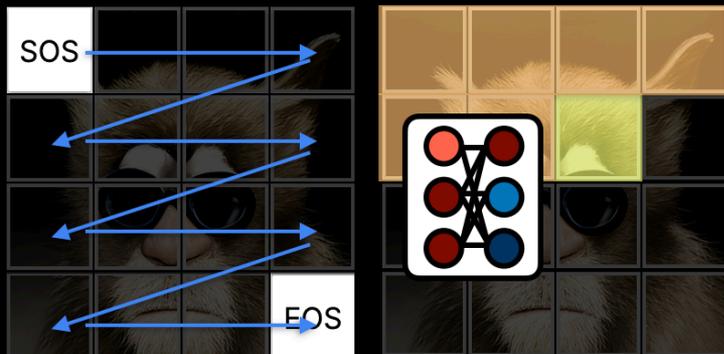
Contrastive Learning

Autoregressive Model

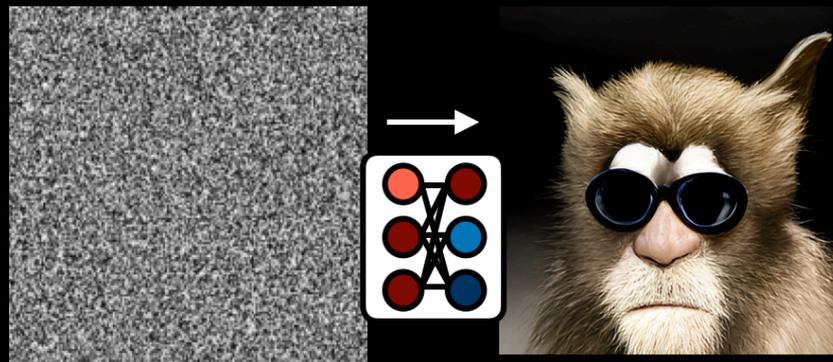
Diffusion Model

AR vs. Diffusion

Autoregressive Model

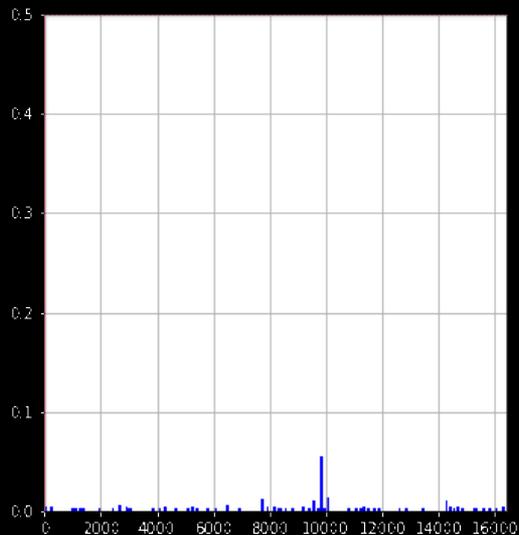
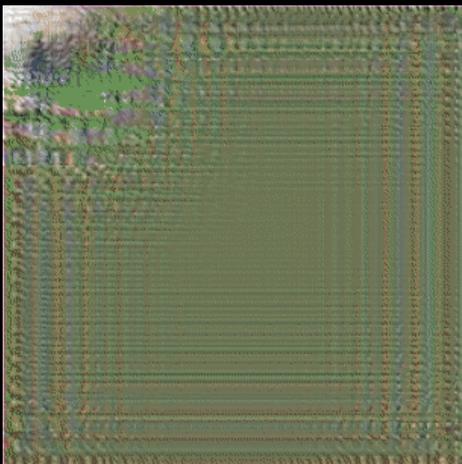


Diffusion Model

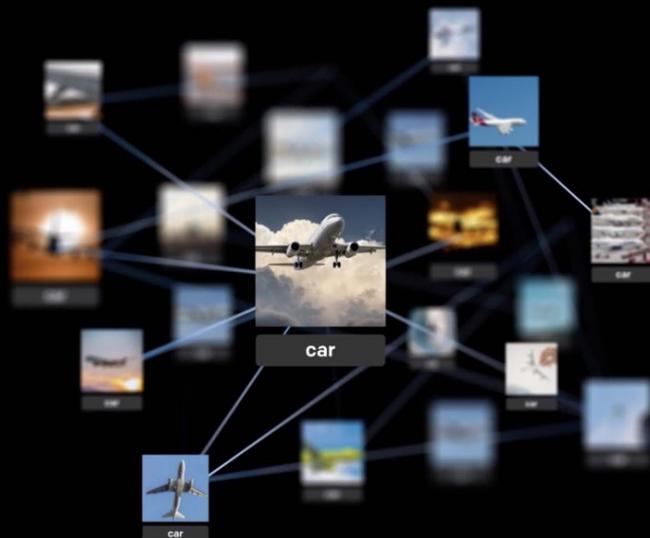


DALL-E 1 (AR Model)

A painting of a cherry blossom tree



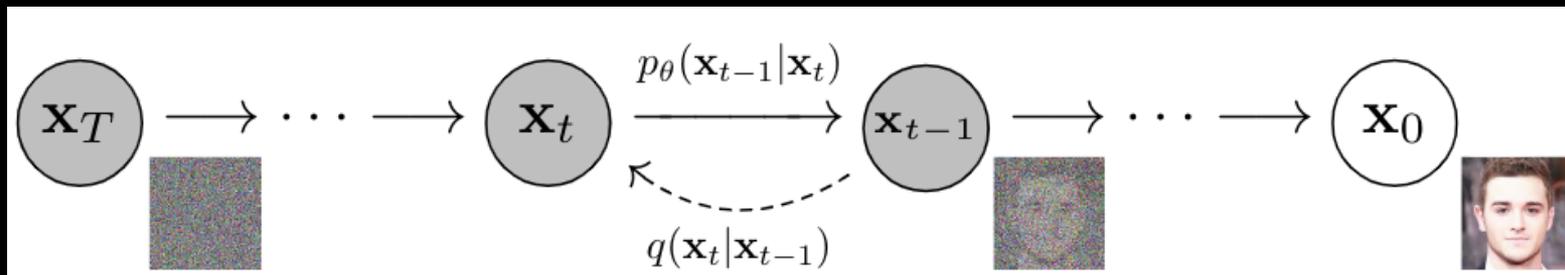
DALL-E 2 (Diffusion Model)



From OpenAI's official page

DDPM: Denoising Diffusion Probabilistic Models

Diffusion models are latent variables models defined by **diffusion (forward) process** and **reverse process**



Diffusion Process

$$q(\mathbf{x}_{1:T} | \mathbf{x}_0) = \prod_{t=1}^T q(\mathbf{x}_t | \mathbf{x}_{t-1}), \quad q(\mathbf{x}_t | \mathbf{x}_{t-1}) = \mathcal{N}(\mathbf{x}_t | \sqrt{1 - \beta_t} \mathbf{x}_{t-1}, \beta_t \mathbf{I})$$

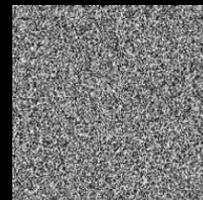
Diffusion Process

When beta is sufficiently small, this forward process can be approximated by a Gaussian distribution in the reverse process

$$q(\mathbf{x}_{1:T} | \mathbf{x}_0) = \prod_{t=1}^T q(\mathbf{x}_t | \mathbf{x}_{t-1}), \quad q(\mathbf{x}_t | \mathbf{x}_{t-1}) = \mathcal{N}(\mathbf{x}_t | \sqrt{1 - \beta_t} \mathbf{x}_{t-1}, \beta_t \mathbf{I})$$

Reverse Process

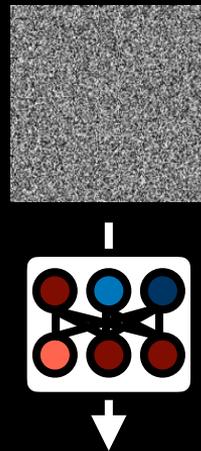
$$p(\mathbf{x}_T) = \mathcal{N}(\mathbf{x}_T | \mathbf{0}, \mathbf{I})$$



Reverse Process

$$p(\mathbf{x}_T) = \mathcal{N}(\mathbf{x}_T | \mathbf{0}, \mathbf{I})$$

$$p_{\theta}(\mathbf{x}_{t-1} | \mathbf{x}_t) = \mathcal{N}(\mathbf{x}_{t-1}; \boldsymbol{\mu}_{\theta}(\mathbf{x}_t, t), \sigma_t^2 \mathbf{I})$$

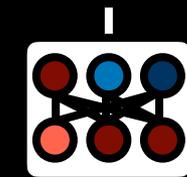
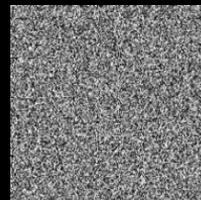


Reverse Process

$$p(\mathbf{x}_T) = \mathcal{N}(\mathbf{x}_T | \mathbf{0}, \mathbf{I})$$

$$p_{\theta}(\mathbf{x}_{t-1} | \mathbf{x}_t) = \mathcal{N}(\mathbf{x}_{t-1}; \boldsymbol{\mu}_{\theta}(\mathbf{x}_t, t), \sigma_t^2 \mathbf{I})$$

$$p(\mathbf{x}_{0:T}) = p(\mathbf{x}_T) \prod_{t=1}^T p_{\theta}(\mathbf{x}_{t-1} | \mathbf{x}_t),$$



Optimization (1/2)

Parameters of reverse process can be learned by optimizing the standard ELBO

$$\begin{aligned}\mathbb{E}[-\log p_{\theta}(\mathbf{x}_0)] &\geq \mathbb{E}_q \left[-\log \frac{p_{\theta}(\mathbf{x}_{0:T})}{q(\mathbf{x}_{1:T}|\mathbf{x}_0)} \right] \\ &= \mathbb{E}_q \left[-\log p(\mathbf{x}_T) - \sum_{t \geq 1} \log \frac{p_{\theta}(\mathbf{x}_{t-1}|\mathbf{x})}{q(\mathbf{x}_t|\mathbf{x}_{t-1})} \right]\end{aligned}$$

Optimization (2/2)

Parameters of reverse process can be learned by optimizing the standard ELBO

$$\mathbb{E}_q \left[\underbrace{D_{\text{KL}} [q(\mathbf{x}_T | \mathbf{x}_0) \| p(\mathbf{x}_T)]}_{L_T} \right] + \sum_{t>1} \underbrace{D_{\text{KL}} [q(\mathbf{x}_{t-1} | \mathbf{x}_t, \mathbf{x}_0) \| p(\mathbf{x}_t)]}_{L_{t-1}} \underbrace{- \log p_\theta(\mathbf{x}_0 | \mathbf{x}_1)}_{L_0}$$

Optimization (Simplified Version)

Through its reparameterization, the objective simplifies to

$$L_{t-1} = \mathbb{E}_{\mathbf{x}_0, \epsilon} \left[\frac{\beta_t^2}{2\sigma_t^2 \alpha_t (1 - \bar{\alpha}_t)} \|\epsilon - \epsilon_\theta(\sqrt{\bar{\alpha}_t} \mathbf{x}_0 + \sqrt{1 - \bar{\alpha}_t} \epsilon, t)\|_2^2 \right]$$

Optimization (Simplified Version)

Through its reparameterization, the objective simplifies to

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Optimization (Simplified Version)

Through its reparameterization, the objective simplifies to

$$L_{t-1} = \mathbb{E}_{\mathbf{x}_0, \epsilon} \left[\frac{\beta_t^2}{2\sigma_t^2 \alpha_t (1 - \bar{\alpha}_t)} \left\| \epsilon - \epsilon_\theta(\sqrt{\bar{\alpha}} \mathbf{x}_0 + \sqrt{1 - \bar{\alpha}_t} \epsilon, t) \right\|_2^2 \right]$$

Training / Sampling

Algorithm 1 Training

- 1: **repeat**
- 2: $\mathbf{x}_0 \sim q(\mathbf{x}_0)$
- 3: $t \sim \text{Uniform}(\{1, \dots, T\})$
- 4: $\boldsymbol{\epsilon} \sim \mathcal{N}(\mathbf{0}, \mathbf{I})$
- 5: Take gradient descent step on
$$\nabla_{\theta} \|\boldsymbol{\epsilon} - \boldsymbol{\epsilon}_{\theta}(\sqrt{\bar{\alpha}_t} \mathbf{x}_0 + \sqrt{1 - \bar{\alpha}_t} \boldsymbol{\epsilon}, t)\|^2$$
- 6: **until** converged

Algorithm 2 Sampling

- 1: $\mathbf{x}_T \sim \mathcal{N}(\mathbf{0}, \mathbf{I})$
- 2: **for** $t = T, \dots, 1$ **do**
- 3: $\mathbf{z} \sim \mathcal{N}(\mathbf{0}, \mathbf{I})$ if $t > 1$, else $\mathbf{z} = \mathbf{0}$
- 4: $\mathbf{x}_{t-1} = \frac{1}{\sqrt{\alpha_t}} \left(\mathbf{x}_t - \frac{1 - \alpha_t}{\sqrt{1 - \alpha_t}} \boldsymbol{\epsilon}_{\theta}(\mathbf{x}_t, t) \right) + \sigma_t \mathbf{z}$
- 5: **end for**
- 6: **return** \mathbf{x}_0

Training / Sampling

Algorithm 1 Training

- 1: **repeat**
- 2: $\mathbf{x}_0 \sim q(\mathbf{x}_0)$
- 3: $t \sim \text{Uniform}(\{1, \dots, T\})$
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$$\nabla_{\theta} \|\epsilon - \epsilon_{\theta}(\sqrt{\bar{\alpha}_t} \mathbf{x}_0 + \sqrt{1 - \bar{\alpha}_t} \epsilon, t)\|^2$$
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Algorithm 2 Sampling

- 1: $\mathbf{x}_T \sim \mathcal{N}(\mathbf{0}, \mathbf{I})$
- 2: **for** $t = T, \dots, 1$ **do**
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- 5: **end for**
- 6: **return** \mathbf{x}_0

Experiments

Compared to AR models, DDPM generates samples in a **bi-directional** manner!

Table 1: CIFAR10 results. NLL measured in bits/dim.

Model	IS	FID	NLL Test (Train)
Conditional			
EBM [41]	8.30	37.9	
JEM [47]	8.76	38.4	
BigGAN [3]	9.22	14.73	
StyleGAN2 + ADA (v1) [29]	10.06	2.67	
Unconditional			
Diffusion (original) [53]			≤ 5.40
Gated PixelCNN [59]	4.60	65.93	3.03 (2.90)
Sparse Transformer [9]			2.80
PixelQN [43]	5.29	49.46	
EBM [41]	6.78	38.2	
NCSNv2 [56]		31.75	
NCSN [53]	8.87 ± 0.12	25.32	
SNGAN [39]	8.22 ± 0.05	21.7	
SNGAN-DDLS [4]	9.09 ± 0.10	15.42	
StyleGAN2 + ADA (v1) [29]	9.74 ± 0.05	3.26	
Ours (L , fixed isotropic Σ)	7.67 ± 0.13	13.51	≤ 3.70 (3.69)
Ours (L_{simple})	9.46 ± 0.11	3.17	≤ 3.75 (3.72)

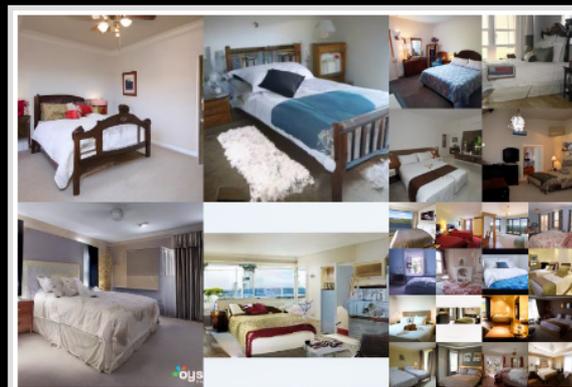
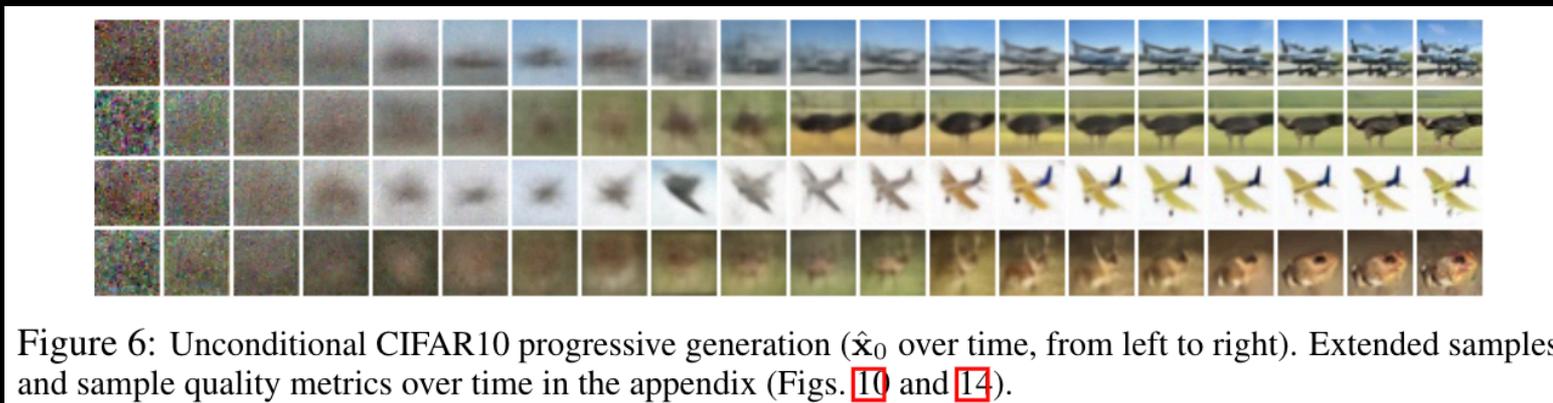


Figure 4: LSUN Bedroom samples. FID=4.90

Experiments

Compared to AR models, DDPM generates samples in a **bi-directional** manner!



GLIDE: **G**uided **L**anguage to **I**mage **D**iffusion for Generation and **E**editing

Class-conditional diffusion models can be implemented by **classifier guidance**

$$\hat{\mu}_{\theta}(x_t|y) = \mu_{\theta}(x_t|y) + s \cdot \Sigma_{\theta}(x_t|y) \nabla_{x_t} \log p_{\phi}(y|x_t)$$

GLIDE: **G**uided **L**anguage to **I**mage **D**iffusion for Generation and **E**editing

Classifier-free guidance for removing the need of a separate classier

$$\hat{\epsilon}_{\theta}(x_t|y) = \epsilon_{\theta}(x_t|\emptyset) + s \cdot (\epsilon_{\theta}(x_t|y) - \epsilon_{\theta}(x_t|\emptyset))$$

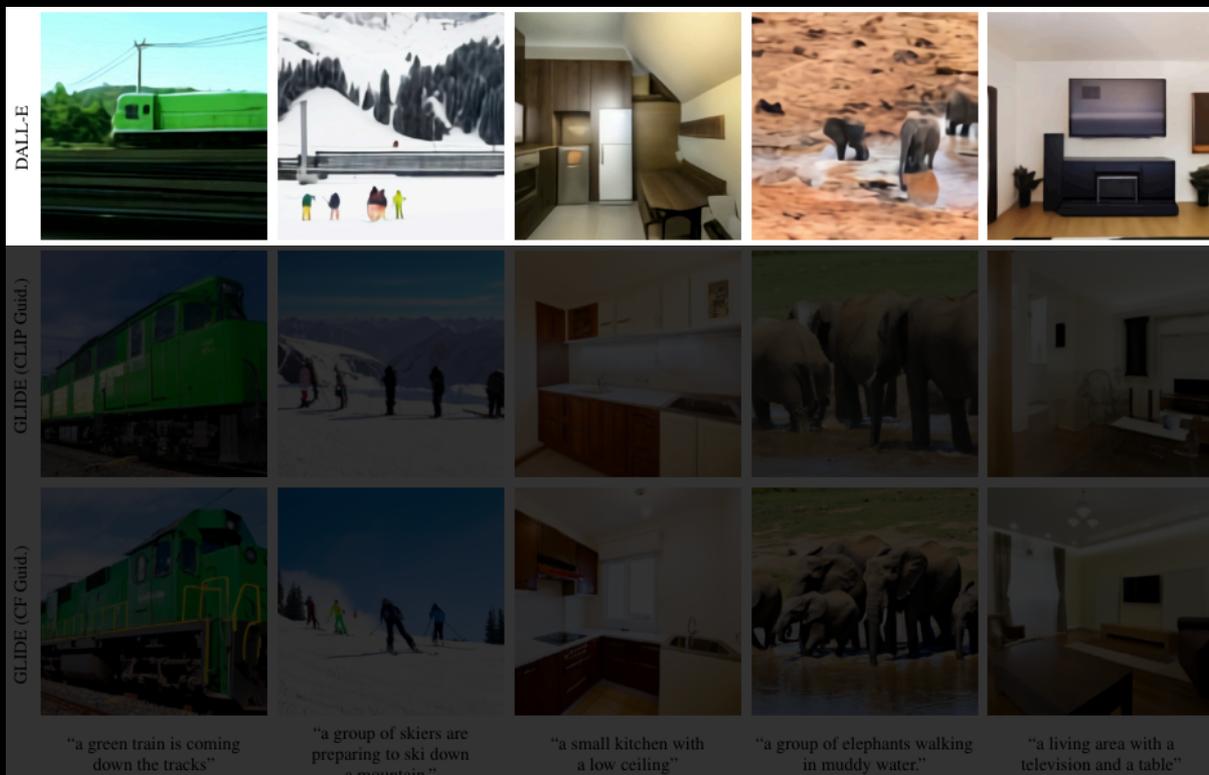
vs.

$$\hat{\mu}_{\theta}(x_t|y) = \mu_{\theta}(x_t|y) + s \cdot \Sigma_{\theta}(x_t|y) \nabla_{x_t} \log p_{\phi}(y|x_t)$$

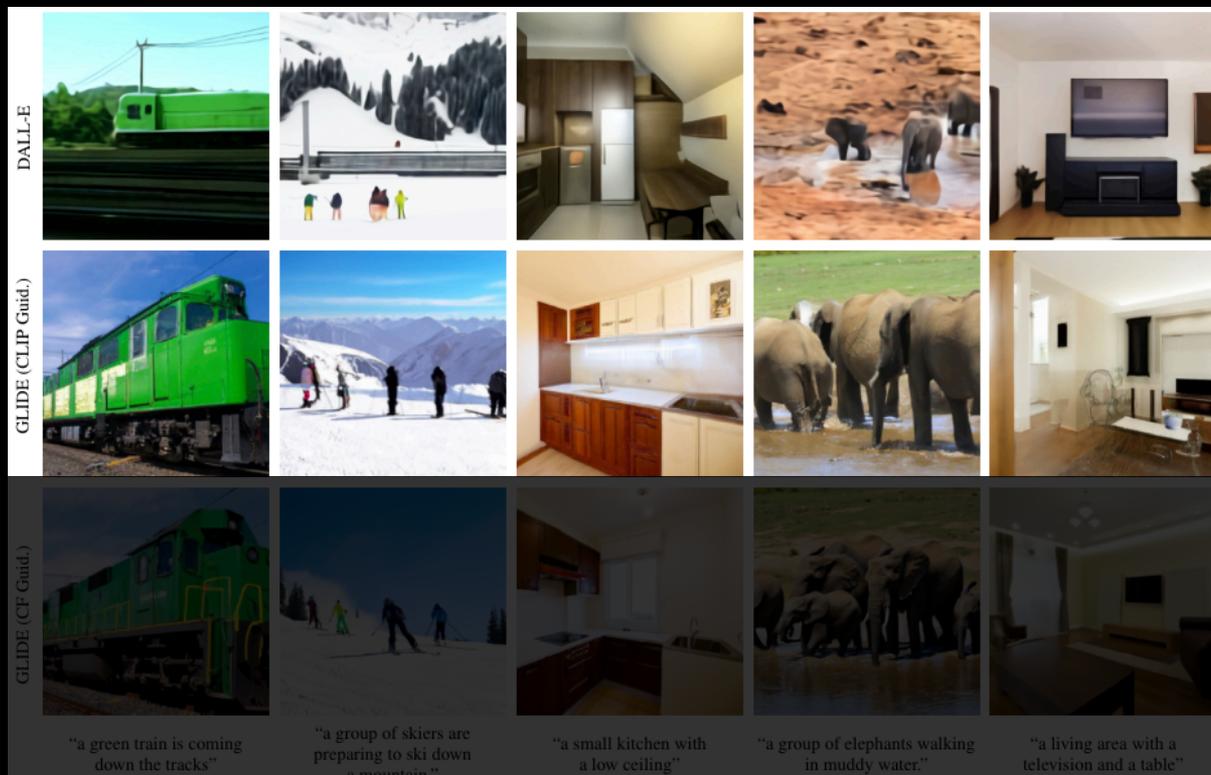
GLIDE (Model)

- Using the ADM model architecture from Guided Diffusion
- Using the same dataset as DALL-E
- Two-stage training
 - For the text encoding, a 1.2B parameter diffusion model is used
 - For upsampling ($64 \times 64 \rightarrow 256 \times 256$), a 1.5B parameter diffusion model is used

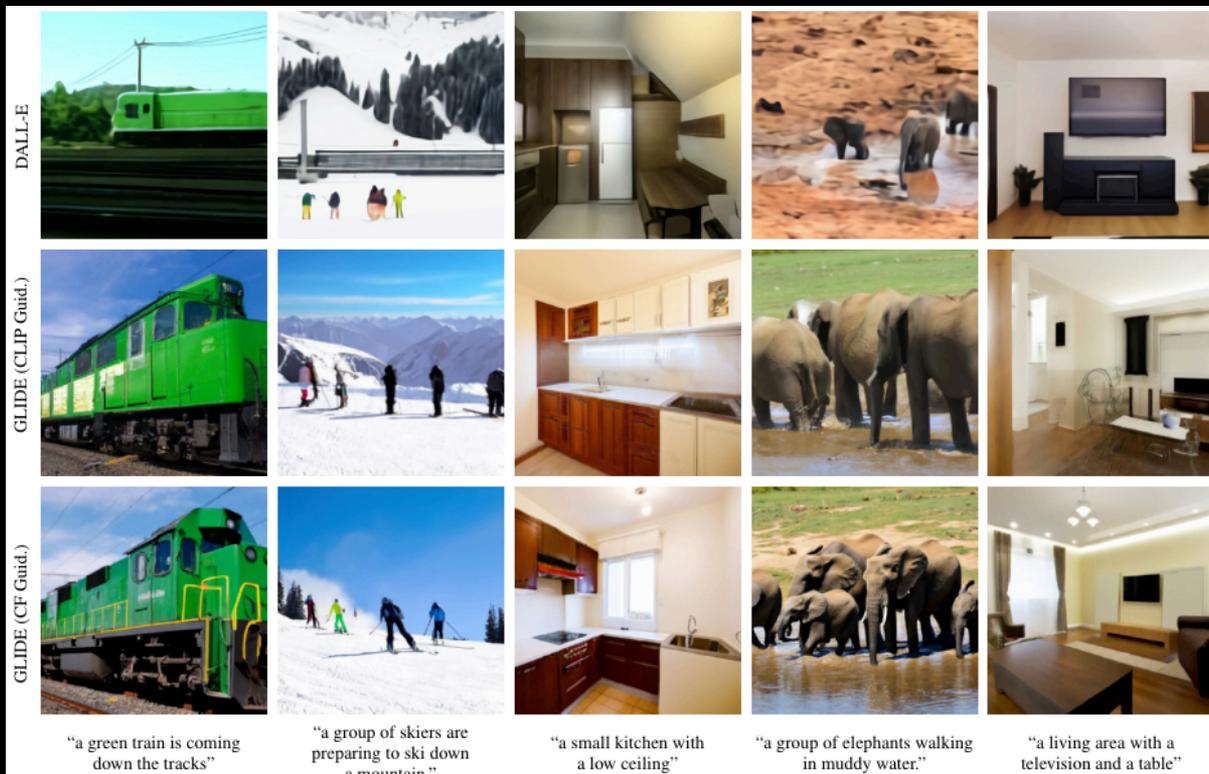
Experiments (Generation)



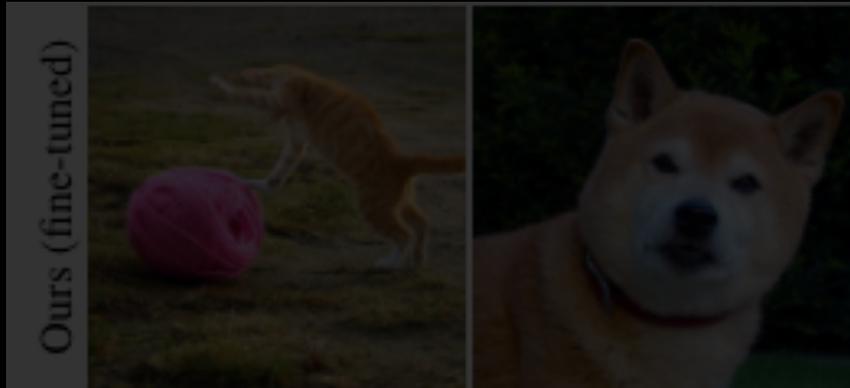
Experiments (Generation)



Experiments (Generation)



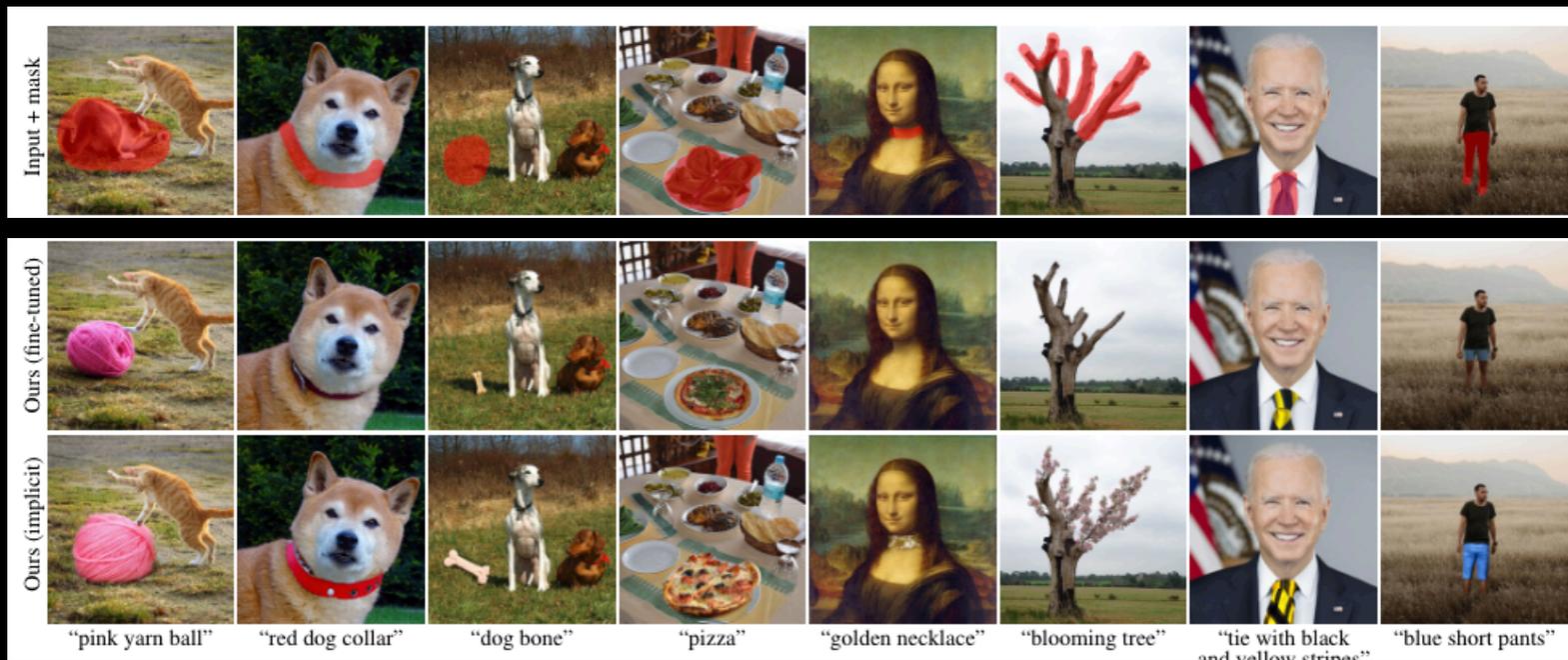
Experiments (Image Editing)



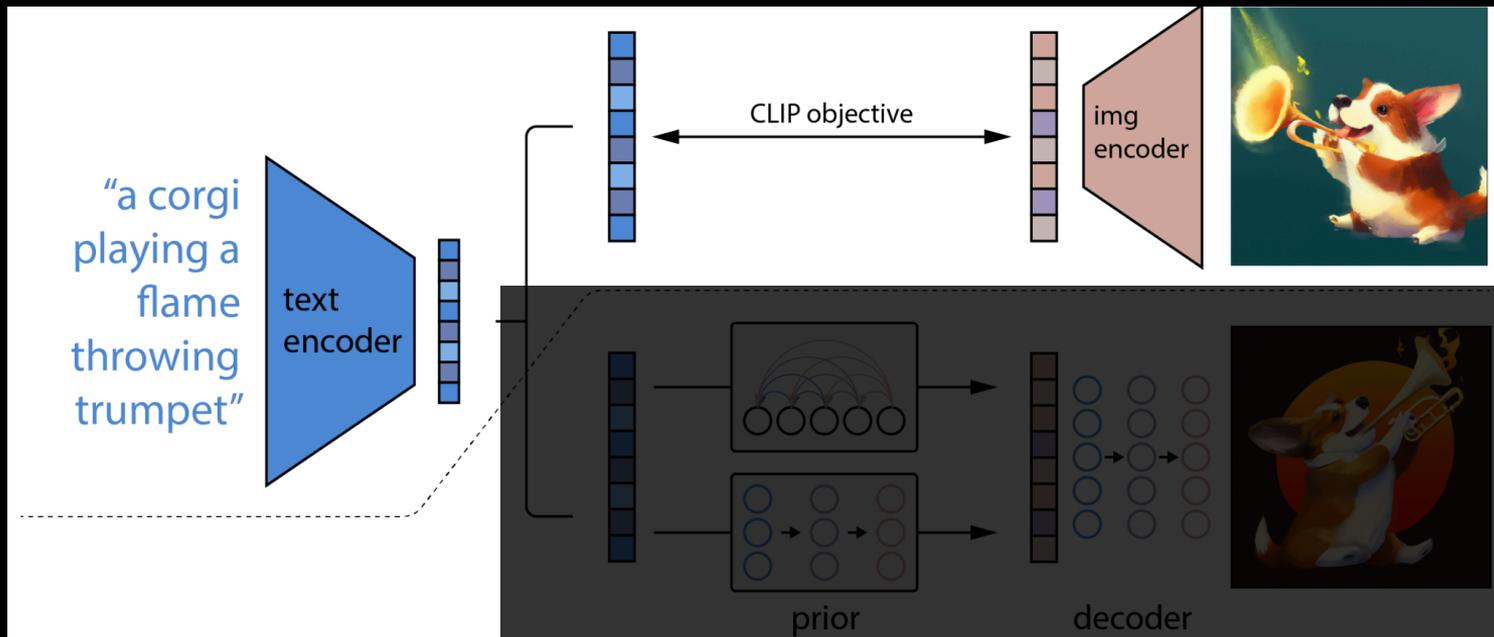
Experiments (Image Editing)



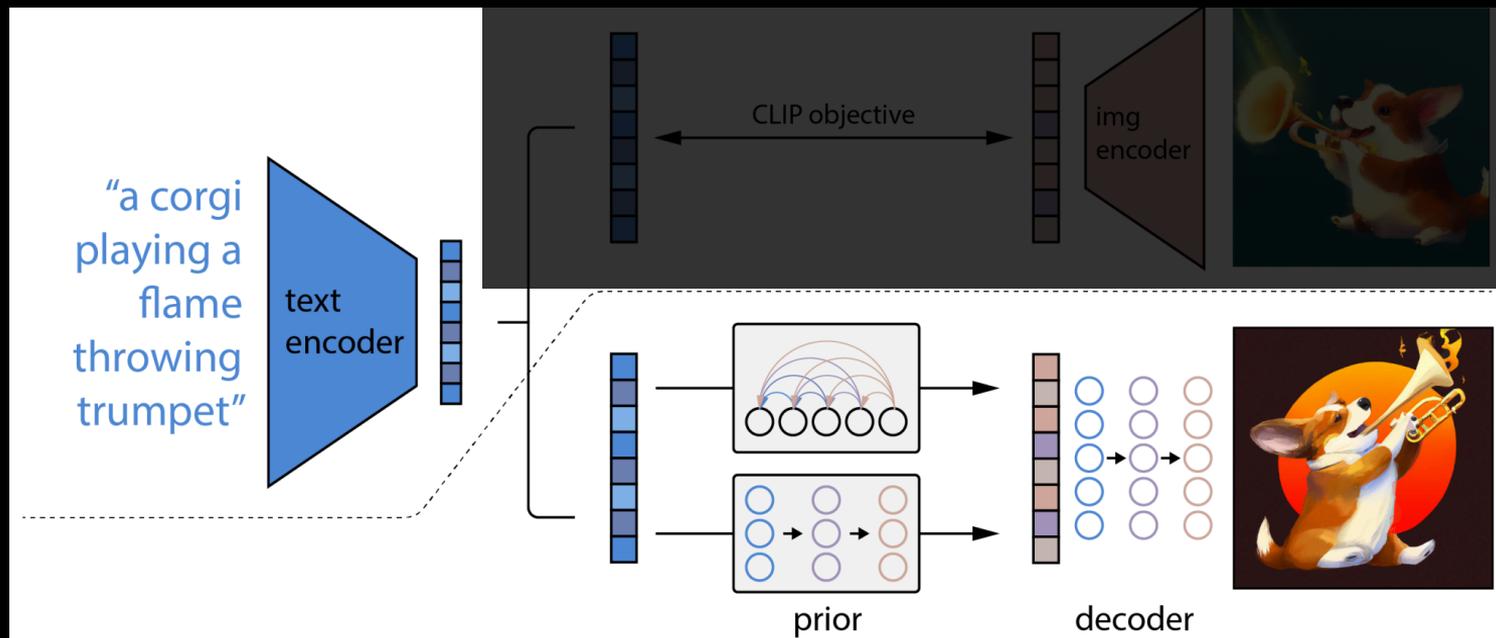
Experiments (Image Editing)



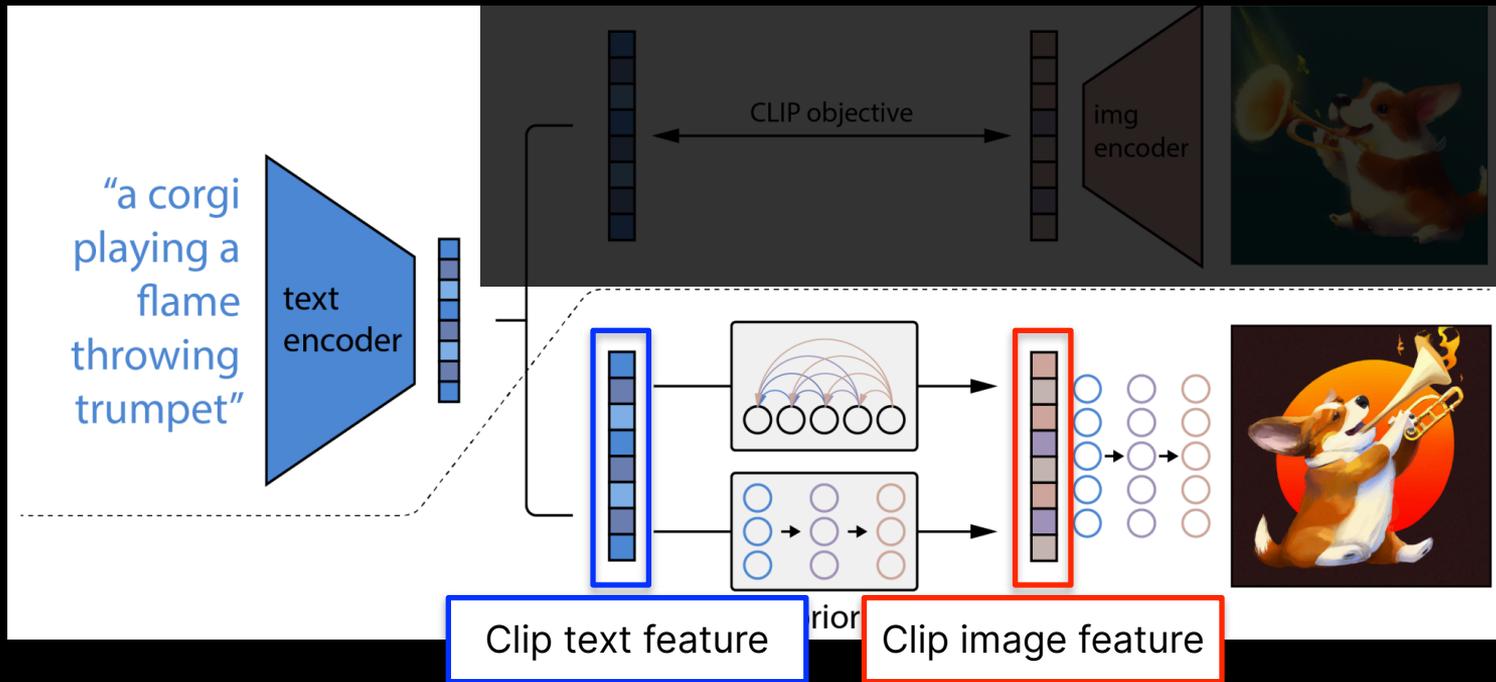
DALLE-2 - Overview



DALLE-2 - Overview



DALLE-2 - Overview



DALLE-2 - Importance of Prior Model



DALLE-2 - Objective

$$P_{\theta}(\text{image}|\text{text}) = P_{\theta}(\text{image}, z|\text{text})$$

DALLE-2 - Objective

$$P_{\theta}(\text{image}|\text{text}) = P_{\theta}(\text{image}, z|\text{text})$$

Deterministic variable!

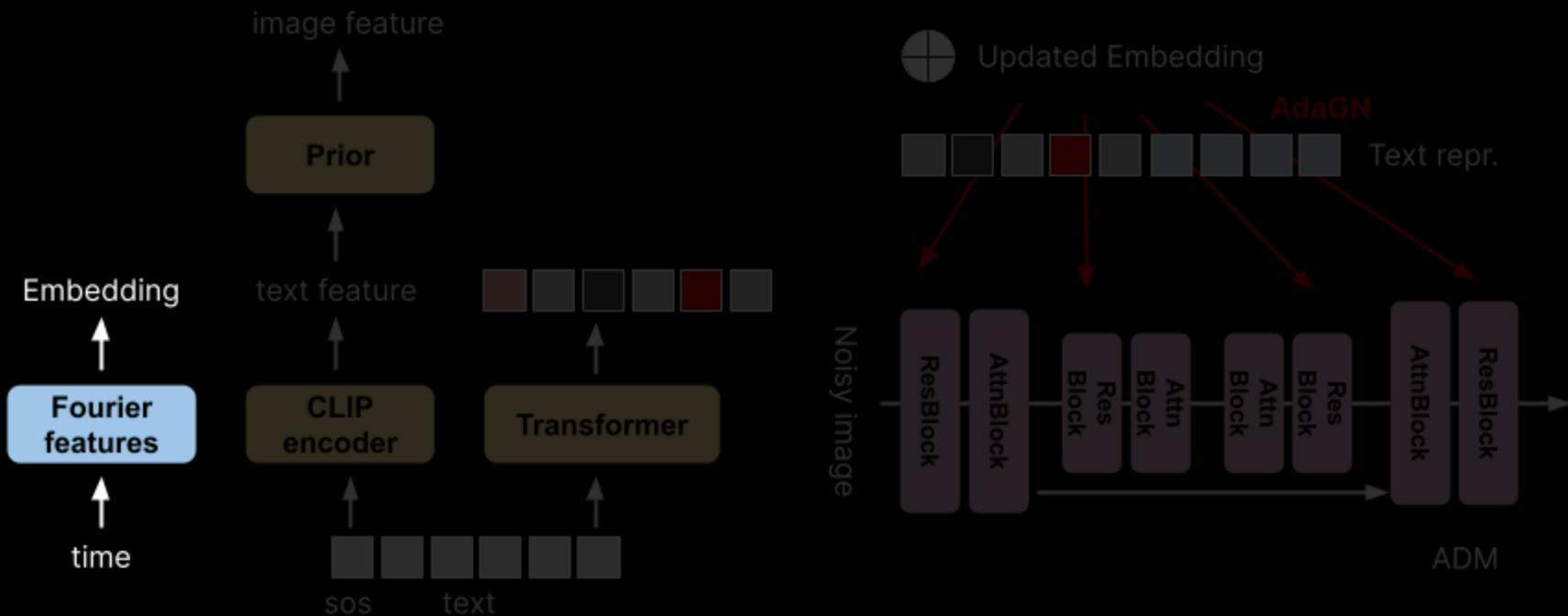
DALLE-2 - Objective

$$\begin{aligned} P_{\theta}(\text{image}|\text{text}) &= P_{\theta}(\text{image}, z|\text{text}) \\ &= \underbrace{P_{\theta}(\text{image}|z, \text{text})}_{\text{Decoder}} \cdot \underbrace{P_{\phi}(z|\text{text})}_{\text{Prior}} \end{aligned}$$

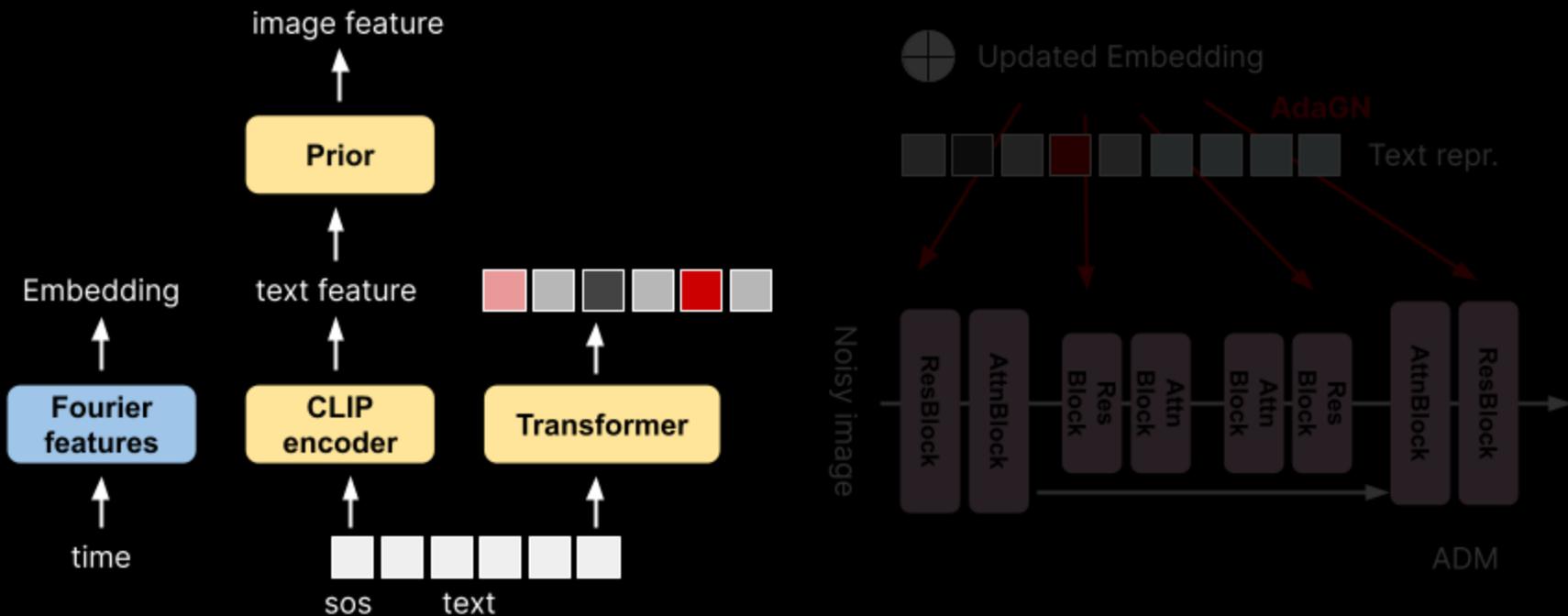
Decoder

Prior

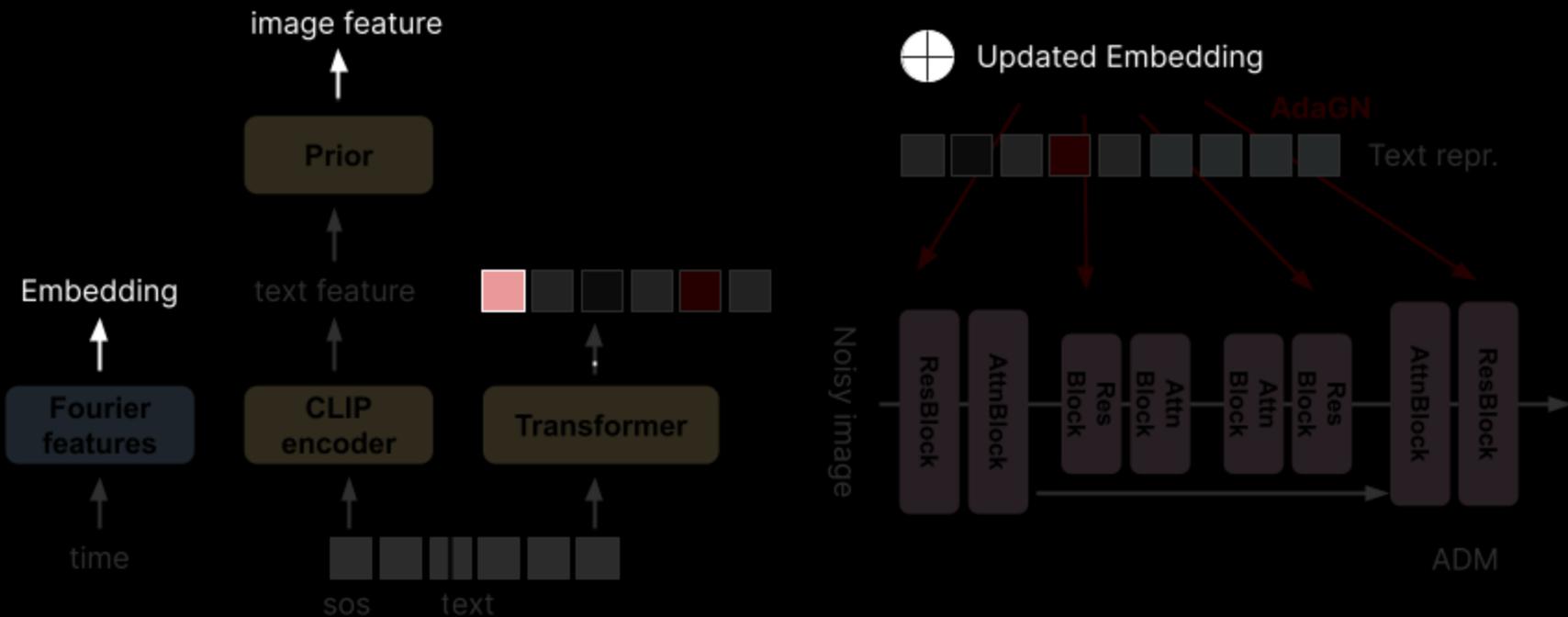
DALLE-2 Architecture - Details



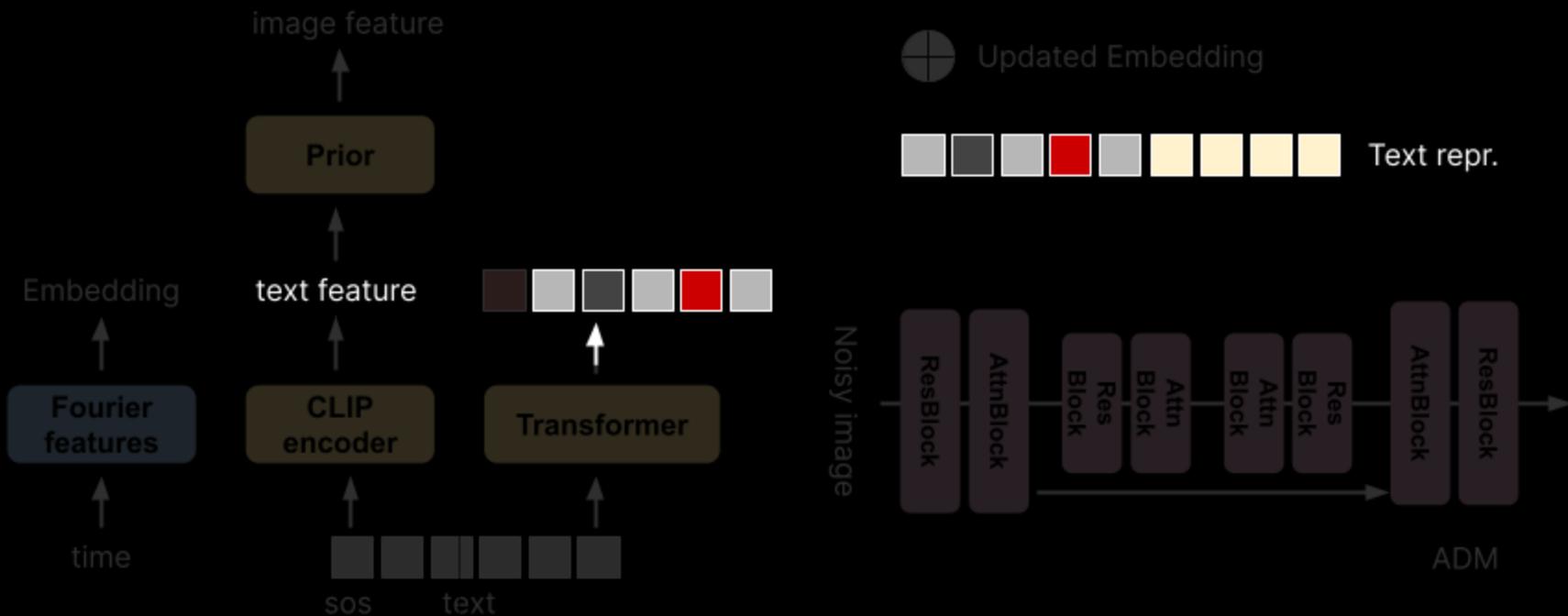
DALLE-2 Architecture - Details



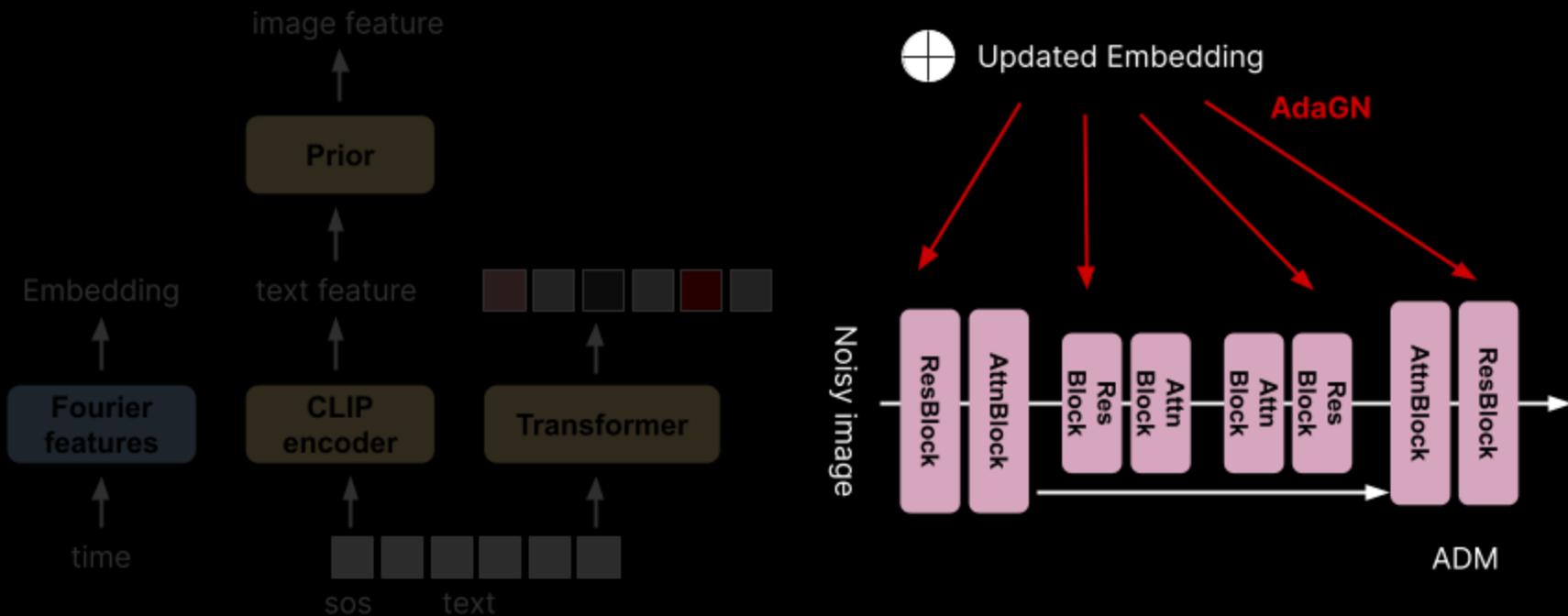
DALLE-2 Architecture - Details



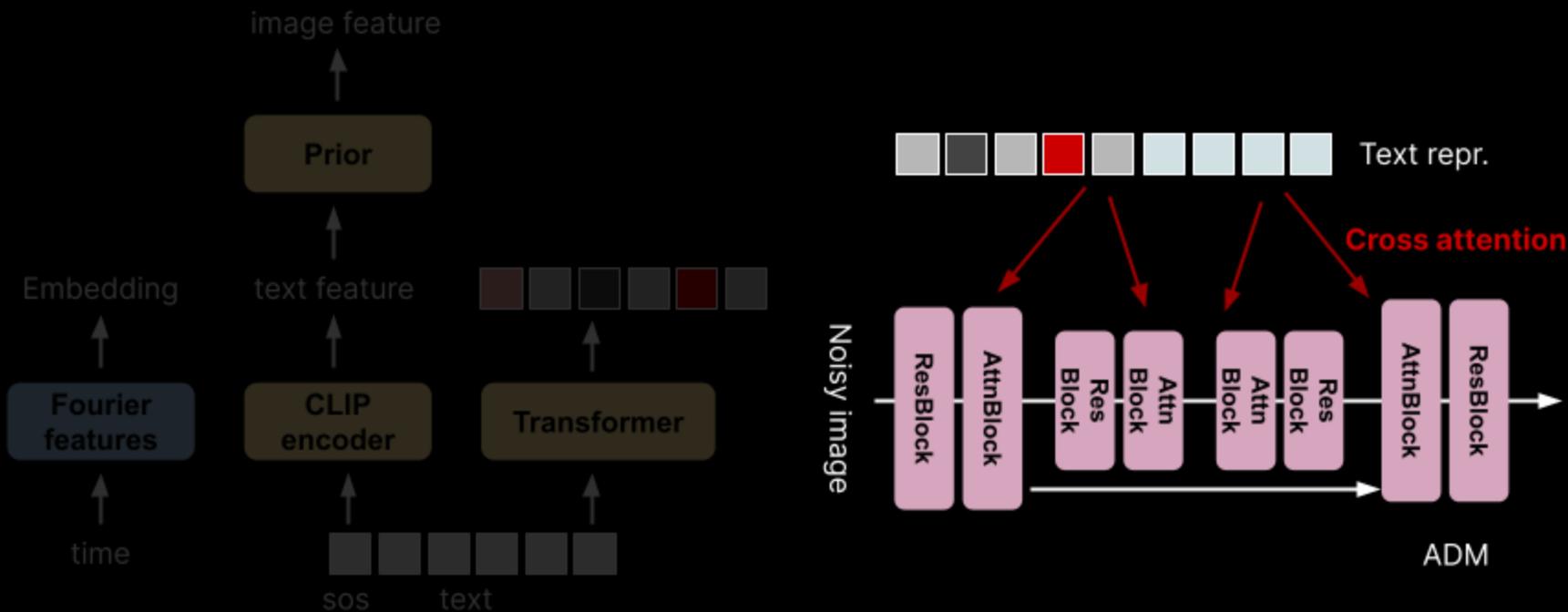
DALLE-2 Architecture - Details



DALLE-2 Architecture - Details



DALLE-2 Architecture - Details



	Diffusion prior	64	64 \rightarrow 256	256 \rightarrow 1024
Diffusion steps	1000	1000	1000	1000
Noise schedule	cosine	cosine	cosine	linear
Sampling steps	64	250	27	15
Sampling variance method	analytic [2]	learned [34]	DDIM [47]	DDIM [47]
Crop fraction	-	-	0.25	0.25
Model size	1B	3.5B	700M	300M
Channels	-	512	320	192
Depth	-	3	3	2
Channels multiple	-	1,2,3,4	1,2,3,4	1,1,2,2,4,4
Heads channels	-	64	-	-
Attention resolution	-	32,16,8	-	-
Text encoder context	256	256	-	-
Text encoder width	2048	2048	-	-
Text encoder depth	24	24	-	-
Text encoder heads	32	32	-	-
Latent decoder context	-	-	-	-
Latent decoder width	-	-	-	-
Latent decoder depth	-	-	-	-
Latent decoder heads	-	-	-	-
Dropout	-	0.1	0.1	-
Weight decay	6.0e-2	-	-	-
Batch size	4096	2048	1024	512
Iterations	600K	800K	1M	1M
Learning rate	1.1e-4	1.2e-4	1.2e-4	1.0e-4
Adam β_2	0.96	0.999	0.999	0.999
Adam ϵ	1.0e-6	1.0e-8	1.0e-8	1.0e-8
EMA decay	0.9999	0.9999	0.9999	0.9999

Sample Examples (from reddit/Dall-e-2)

Sample



Posted by u/cench 15 days ago 🗨️ 📄 2 🍷 🌟

808



An orange cat staring at a drawer filled with socks on fire, high-resolution photo



113 Comments



Award



Share



Save ...

Sample



Posted by u/Wiskkey 14 days ago

732

“a painting by Grant Wood of an astronaut couple, american gothic style”



30 Comments



Award



Share



Save



2)

Sample



Posted by u/danielbln dalle2 user 7 days ago

happy racoons wearing colourful turtlenecks



32 Comments Award Share Save ...

DALLE-2 Architecture - Limitation



(a) A high quality photo of a dog playing in a green field next to a lake.



(b) A high quality photo of Times Square.

Conclusion

Diffusion Models (DDPM, GLIDE, DALL-E 2)

