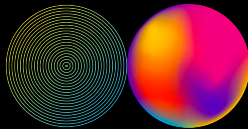


# Recent Trends in Machine Learning: A Large-scale Perspective

## A Short Introduction to **Multi-modal AI** Models (Part 3)

Saehoon Kim @ Kakaobrain



# Outline of This Course

**CLIP**  
Encoder-only

**05/04**

**DALL-E**  
Decoder-only

**05/11**

**DALL-E 2**  
Enc-Dec

**05/18**

# Outline of This Course



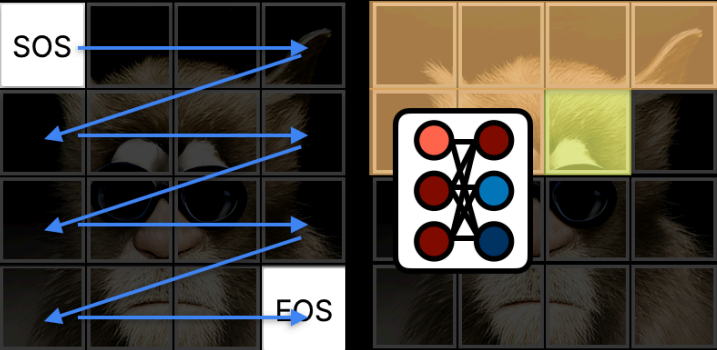
**Contrastive Learning**

**Autoregressive Model**

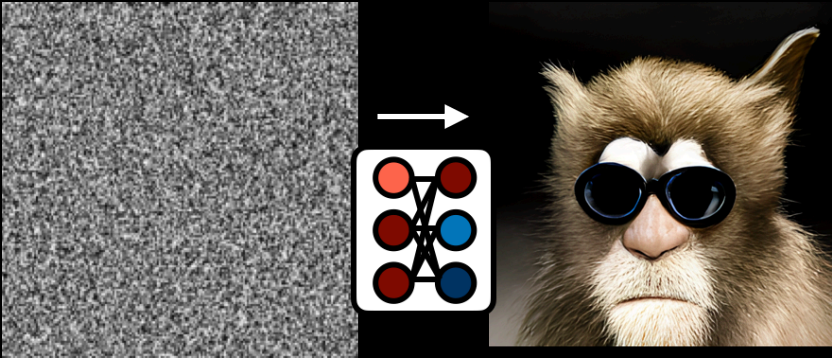
**Diffusion Model**

# AR vs. Diffusion

Autoregressive Model



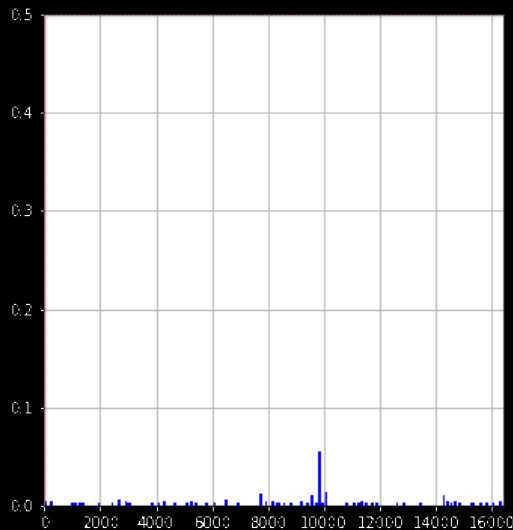
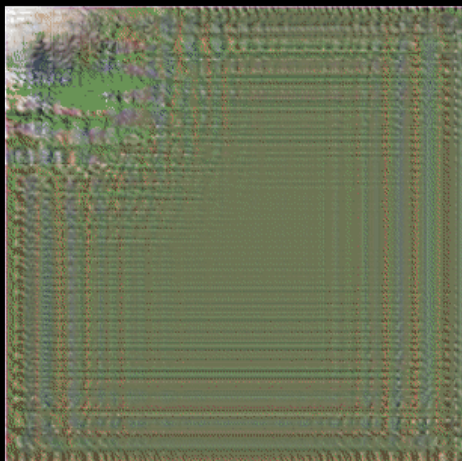
Diffusion Model



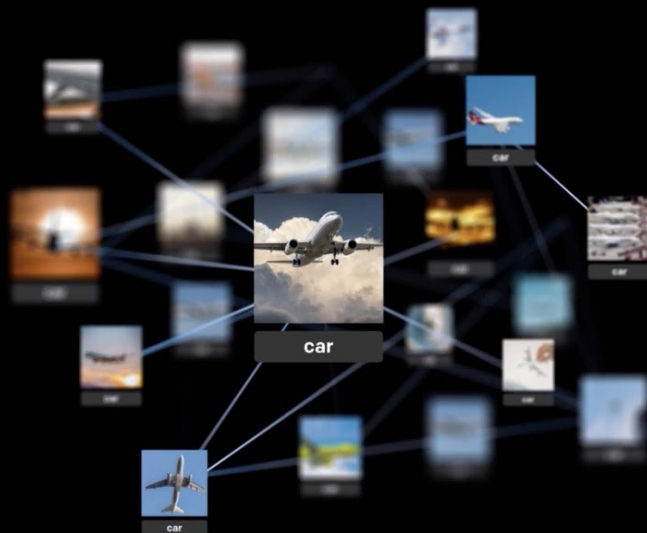


# DALL-E 1 (AR Model)

A painting of a cherry blossom tree



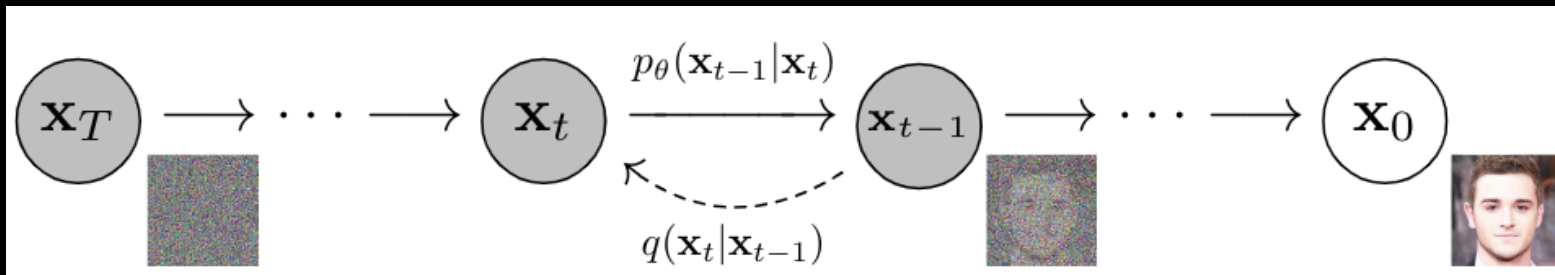
# DALL-E 2 (Diffusion Model)



From OpenAI's official page

# DDPM: Denoising Diffusion Probabilistic Models

Diffusion models are latent variables models defined by **diffusion (forward) process** and **reverse process**



# Diffusion Process

$$q(\mathbf{x}_{1:T}|\mathbf{x}_0) = \prod_{t=1}^T q(\mathbf{x}_t|\mathbf{x}_{t-1}), \quad q(\mathbf{x}_t|\mathbf{x}_{t-1}) = \mathcal{N}(\mathbf{x}_t|\sqrt{1-\beta_t}\mathbf{x}_{t-1}, \beta_t\mathbf{I})$$

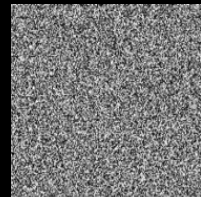
# Diffusion Process

When  $\beta_t$  is sufficiently small, this forward process can be approximated by a Gaussian distribution in the reverse process

$$q(\mathbf{x}_{1:T}|\mathbf{x}_0) = \prod_{t=1}^T q(\mathbf{x}_t|\mathbf{x}_{t-1}), \quad q(\mathbf{x}_t|\mathbf{x}_{t-1}) = \mathcal{N}(\mathbf{x}_t | \sqrt{1 - \beta_t} \mathbf{x}_{t-1}, \beta_t \mathbf{I})$$

# Reverse Process

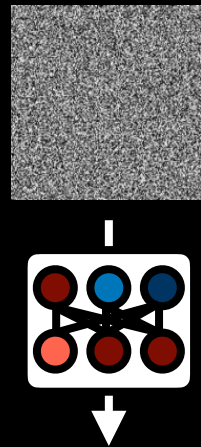
$$p(\mathbf{x}_T) = \mathcal{N}(\mathbf{x}_T | \mathbf{0}, \mathbf{I})$$



# Reverse Process

$$p(\mathbf{x}_T) = \mathcal{N}(\mathbf{x}_T | \mathbf{0}, \mathbf{I})$$

$$p_{\theta}(\mathbf{x}_{t-1} | \mathbf{x}_t) = \mathcal{N}(\mathbf{x}_{t-1}; \boldsymbol{\mu}_{\theta}(\mathbf{x}_t, t), \sigma_t^2 \mathbf{I})$$

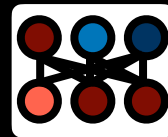
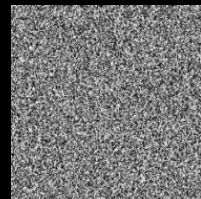


# Reverse Process

$$p(\mathbf{x}_T) = \mathcal{N}(\mathbf{x}_T | \mathbf{0}, \mathbf{I})$$

$$p_{\theta}(\mathbf{x}_{t-1} | \mathbf{x}_t) = \mathcal{N}(\mathbf{x}_{t-1}; \boldsymbol{\mu}_{\theta}(\mathbf{x}_t, t), \sigma_t^2 \mathbf{I})$$

$$p(\mathbf{x}_{0:T}) = p(\mathbf{x}_T) \prod_{t=1}^T p_{\theta}(\mathbf{x}_{t-1} | \mathbf{x}_t),$$





# Optimization (1/2)

Parameters of reverse process can be learned by optimizing the standard ELBO

$$\begin{aligned}\mathbb{E}[-\log p_{\theta}(\mathbf{x}_0)] &\geq \mathbb{E}_q \left[ -\log \frac{p_{\theta}(\mathbf{x}_{0:T})}{q(\mathbf{x}_{1:T}|\mathbf{x}_0)} \right] \\ &= \mathbb{E}_q \left[ -\log p(\mathbf{x}_T) - \sum_{t \geq 1} \log \frac{p_{\theta}(\mathbf{x}_{t-1}|\mathbf{x})}{q(\mathbf{x}_t|\mathbf{x}_{t-1})} \right]\end{aligned}$$

# Optimization (2/2)

Parameters of reverse process can be learned by optimizing the standard ELBO

$$\begin{aligned} & \mathbb{E}_q \left[ \underbrace{D_{\text{KL}} [q(\mathbf{x}_T | \mathbf{x}_0) \| p(\mathbf{x}_T)]}_{L_T} \right] \\ & + \sum_{t>1} \underbrace{D_{\text{KL}} [q(\mathbf{x}_{t-1} | \mathbf{x}_t, \mathbf{x}_0) \| p(\mathbf{x}_t)]}_{L_{t-1}} \underbrace{- \log p_\theta(\mathbf{x}_0 | \mathbf{x}_1)}_{L_0} \end{aligned}$$

# Optimization (Simplified Version)

Through its reparameterization, the objective simplifies to

$$L_{t-1} = \mathbb{E}_{\mathbf{x}_0, \epsilon} \left[ \frac{\beta_t^2}{2\sigma_t^2 \alpha_t (1 - \bar{\alpha}_t)} \|\epsilon - \epsilon_\theta(\sqrt{\bar{\alpha}} \mathbf{x}_0 + \sqrt{1 - \bar{\alpha}_t} \epsilon, t)\|_2^2 \right]$$

# Optimization (Simplified Version)

Through its reparameterization, the objective simplifies to

$$L_{t-1} = \mathbb{E}_{\mathbf{x}_0, \epsilon} \left[ \frac{\beta_t^2}{2\sigma_t^2 \alpha_t (1 - \bar{\alpha}_t)} \|\epsilon - \epsilon_\theta(\sqrt{\bar{\alpha}}\mathbf{x}_0 + \sqrt{1 - \bar{\alpha}_t}\epsilon, t)\|_2^2 \right]$$

# Optimization (Simplified Version)

Through its reparameterization, the objective simplifies to

$$L_{t-1} = \mathbb{E}_{\mathbf{x}_0, \epsilon} \left[ \frac{\beta_t^2}{2\sigma_t^2 \alpha_t (1 - \bar{\alpha}_t)} \left\| \epsilon - \epsilon_{\theta}(\sqrt{\bar{\alpha}} \mathbf{x}_0 + \sqrt{1 - \bar{\alpha}_t} \epsilon, t) \right\|_2^2 \right]$$

# Training / Sampling

## Algorithm 1 Training

- 1: **repeat**
- 2:  $\mathbf{x}_0 \sim q(\mathbf{x}_0)$
- 3:  $t \sim \text{Uniform}(\{1, \dots, T\})$
- 4:  $\boldsymbol{\epsilon} \sim \mathcal{N}(\mathbf{0}, \mathbf{I})$
- 5: Take gradient descent step on  
$$\nabla_{\theta} \|\boldsymbol{\epsilon} - \boldsymbol{\epsilon}_{\theta}(\sqrt{\bar{\alpha}_t} \mathbf{x}_0 + \sqrt{1 - \bar{\alpha}_t} \boldsymbol{\epsilon}, t)\|^2$$
- 6: **until** converged

## Algorithm 2 Sampling

- 1:  $\mathbf{x}_T \sim \mathcal{N}(\mathbf{0}, \mathbf{I})$
- 2: **for**  $t = T, \dots, 1$  **do**
- 3:  $\mathbf{z} \sim \mathcal{N}(\mathbf{0}, \mathbf{I})$  if  $t > 1$ , else  $\mathbf{z} = \mathbf{0}$
- 4:  $\mathbf{x}_{t-1} = \frac{1}{\sqrt{\alpha_t}} \left( \mathbf{x}_t - \frac{1 - \alpha_t}{\sqrt{1 - \bar{\alpha}_t}} \boldsymbol{\epsilon}_{\theta}(\mathbf{x}_t, t) \right) + \sigma_t \mathbf{z}$
- 5: **end for**
- 6: **return**  $\mathbf{x}_0$

# Training / Sampling

## Algorithm 1 Training

```
1: repeat
2:    $\mathbf{x}_0 \sim q(\mathbf{x}_0)$ 
3:    $t \sim \text{Uniform}(\{1, \dots, T\})$ 
4:    $\epsilon \sim \mathcal{N}(\mathbf{0}, \mathbf{I})$ 
5:   Take gradient descent step on
        $\nabla_{\theta} \|\epsilon - \epsilon_{\theta}(\sqrt{\bar{\alpha}_t}\mathbf{x}_0 + \sqrt{1 - \bar{\alpha}_t}\epsilon, t)\|^2$ 
6: until converged
```

## Algorithm 2 Sampling

```
1:  $\mathbf{x}_T \sim \mathcal{N}(\mathbf{0}, \mathbf{I})$ 
2: for  $t = T, \dots, 1$  do
3:    $\mathbf{z} \sim \mathcal{N}(\mathbf{0}, \mathbf{I})$  if  $t > 1$ , else  $\mathbf{z} = \mathbf{0}$ 
4:    $\mathbf{x}_{t-1} = \frac{1}{\sqrt{\alpha_t}} \left( \mathbf{x}_t - \frac{1 - \alpha_t}{\sqrt{1 - \bar{\alpha}_t}} \epsilon_{\theta}(\mathbf{x}_t, t) \right) + \sigma_t \mathbf{z}$ 
5: end for
6: return  $\mathbf{x}_0$ 
```

# Experiments

Compared to AR models, DDPM generates samples in a **bi-directional** manner!

Table 1: CIFAR10 results. NLL measured in bits/dim.

Model	IS	FID	NLL Test (Train)
<b>Conditional</b>			
EBM [41]	8.30	37.9	
JEM [47]	8.76	38.4	
BigGAN [3]	9.22	14.73	
StyleGAN2 + ADA (v1) [29]	<b>10.06</b>	<b>2.67</b>	
<b>Unconditional</b>			
Diffusion (original) [53]			$\leq 5.40$
Gated PixelCNN [59]	4.60	65.93	3.03 (2.90)
Sparse Transformer [9]			<b>2.80</b>
PixelIQN [43]	5.29	49.46	
EBM [41]	6.78	38.2	
NCSNv2 [56]		31.75	
NCSN [53]	$8.87 \pm 0.12$	25.32	
SNGAN [39]	$8.22 \pm 0.05$	21.7	
SNGAN-DDLS [4]	$9.09 \pm 0.10$	15.42	
StyleGAN2 + ADA (v1) [29]	$9.74 \pm 0.05$	3.26	
Ours ( $L$ , fixed isotropic $\Sigma$ )	$7.67 \pm 0.13$	13.51	$\leq 3.70$ (3.69)
<b>Ours</b> ( $L_{\text{simple}}$ )	$9.46 \pm 0.11$	<b>3.17</b>	$\leq 3.75$ (3.72)

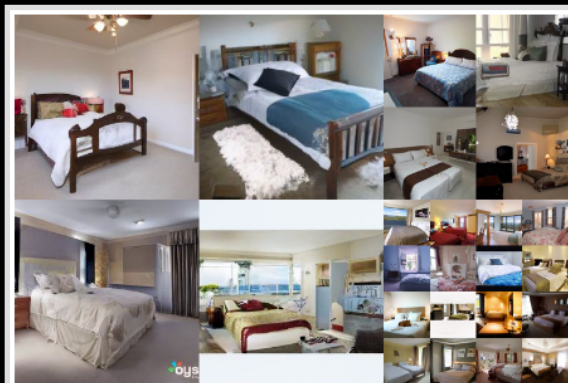


Figure 4: LSUN Bedroom samples. FID=4.90



# Experiments

Compared to AR models, DDPM generates samples in a **bi-directional** manner!



Figure 6: Unconditional CIFAR10 progressive generation ( $\hat{x}_0$  over time, from left to right). Extended samples and sample quality metrics over time in the appendix (Figs. [10](#) and [14](#)).

# GLIDE: **G**uided **L**anguage to **I**mage **D**iffusion for Generation and **E**editing

Class-conditional diffusion models can be implemented by **classifier guidance**

$$\hat{\mu}_{\theta}(x_t|y) = \mu_{\theta}(x_t|y) + s \cdot \Sigma_{\theta}(x_t|y) \nabla_{x_t} \log p_{\phi}(y|x_t)$$

# GLIDE: Guided Language to Image Diffusion for Generation and Editing

Classifier-free guidance for removing the need of a separate classier

$$\hat{\epsilon}_{\theta}(x_t|y) = \epsilon_{\theta}(x_t|\emptyset) + s \cdot (\epsilon_{\theta}(x_t|y) - \epsilon_{\theta}(x_t|\emptyset))$$





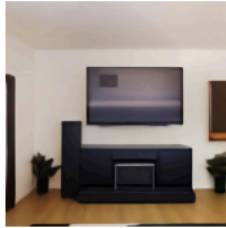


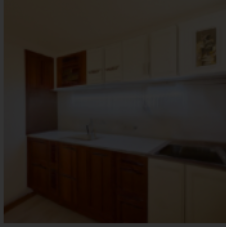
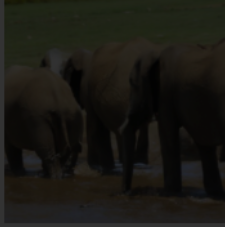
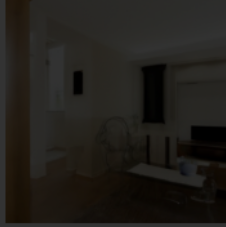

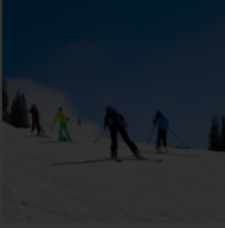
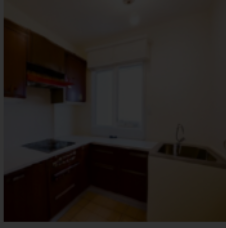
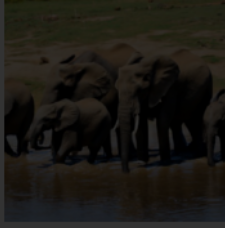
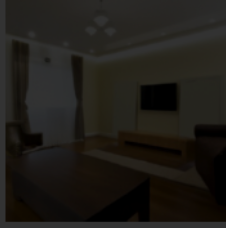
vs.

$$\hat{\mu}_{\theta}(x_t|y) = \mu_{\theta}(x_t|y) + s \cdot \Sigma_{\theta}(x_t|y) \nabla_{x_t} \log p_{\phi}(y|x_t)$$





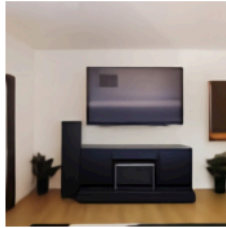
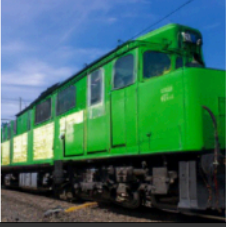

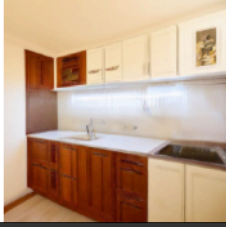

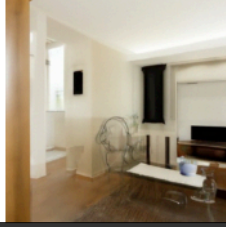

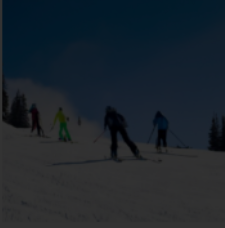
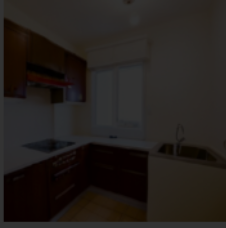
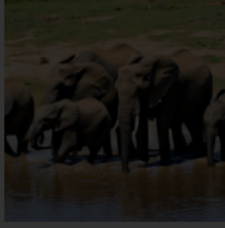
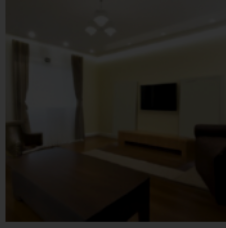
# GLIDE (Model)

- Using the ADM model architecture from Guided Diffusion
- Using the same dataset as DALL-E
- Two-stage training
  - For the text encoding, a 1.2B parameter diffusion model is used
  - For upsampling ( $64 \times 64 \rightarrow 256 \times 256$ ), a 1.5B parameter diffusion model is used

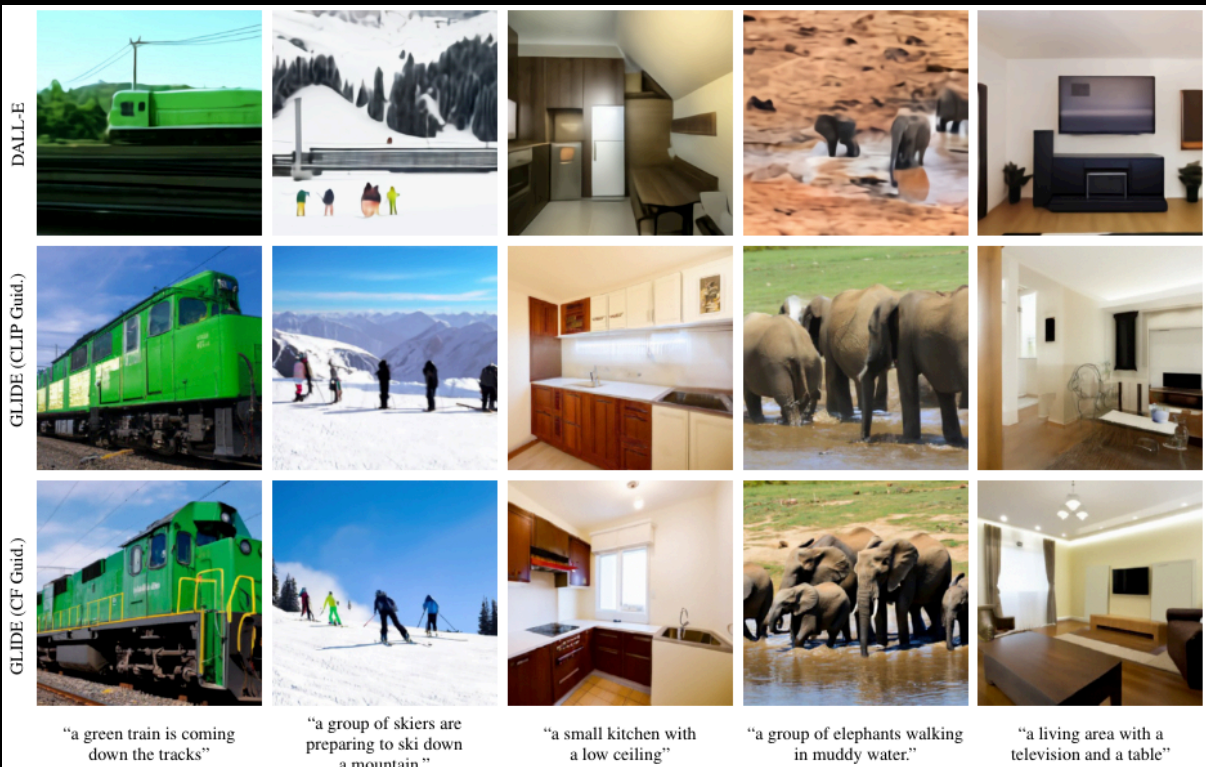
# Experiments (Generation)

DALL-E					
GLIDE (CLIP Guid.)					
GLIDE (CF Guid.)					
	"a green train is coming down the tracks"	"a group of skiers are preparing to ski down a mountain."	"a small kitchen with a low ceiling"	"a group of elephants walking in muddy water."	"a living area with a television and a table"

# Experiments (Generation)

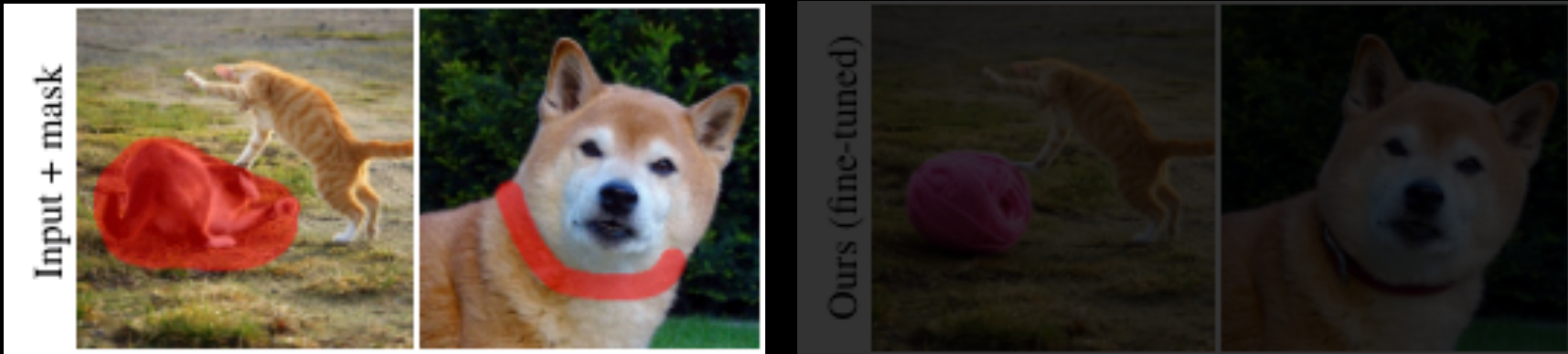
DALL-E					
GLIDE (CLIP Guid.)					
GLIDE (CF Guid.)					
	<p>"a green train is coming down the tracks"</p>	<p>"a group of skiers are preparing to ski down a mountain."</p>	<p>"a small kitchen with a low ceiling"</p>	<p>"a group of elephants walking in muddy water."</p>	<p>"a living area with a television and a table"</p>

# Experiments (Generation)





# Experiments (Image Editing)





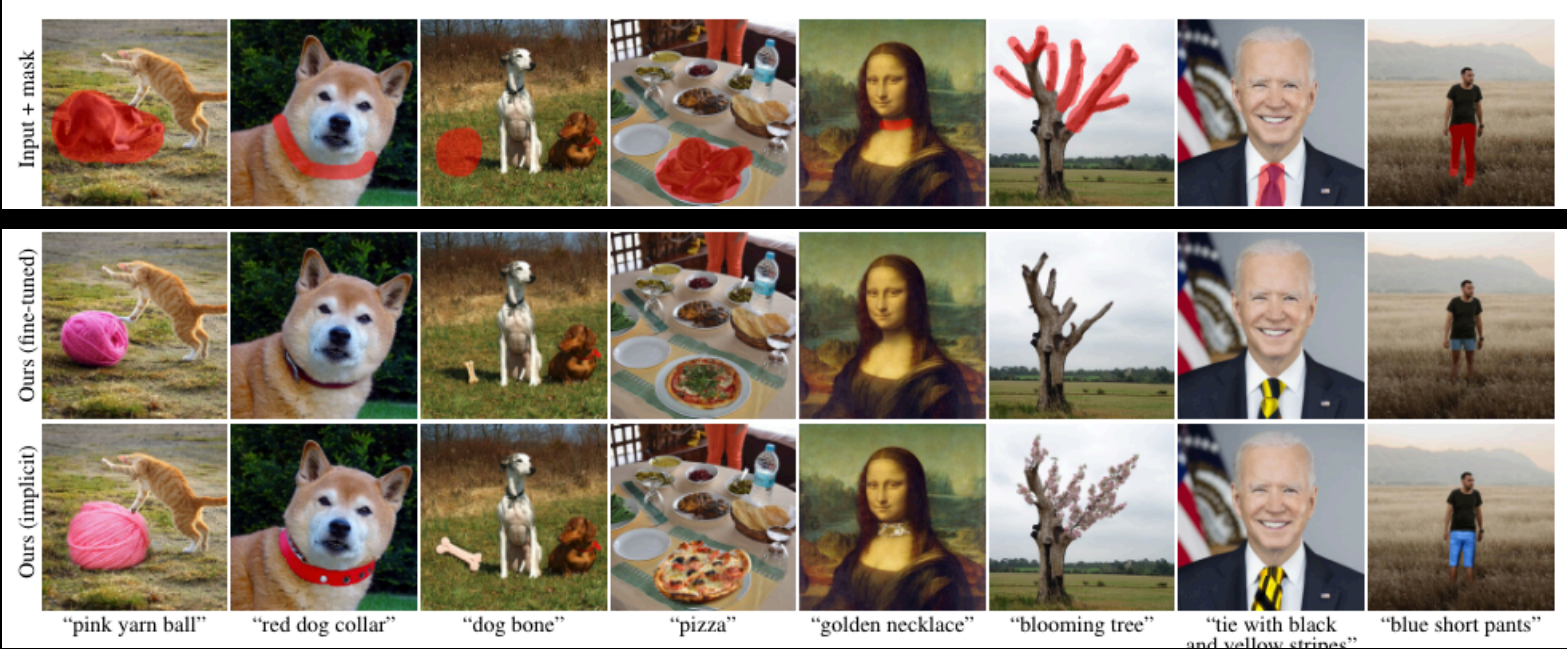
# Experiments (Image Editing)



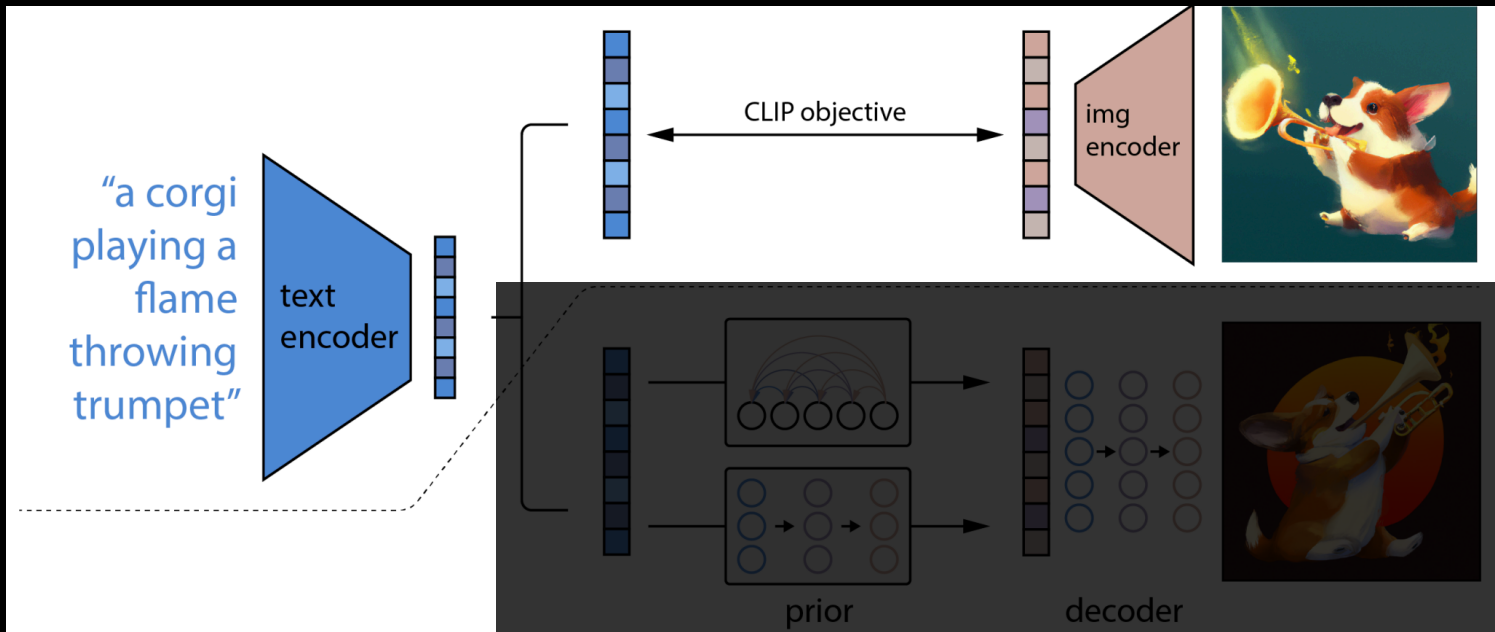
“pink yarn ball”

“red dog collar”

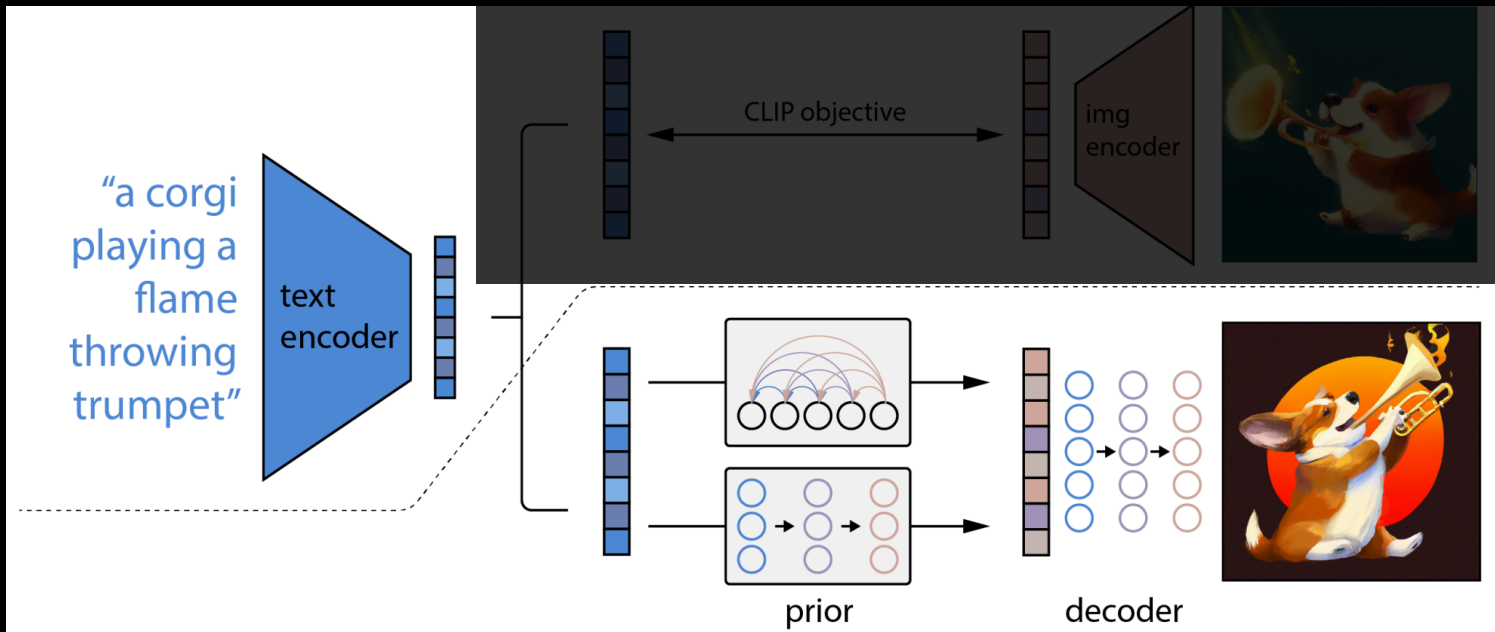
# Experiments (Image Editing)



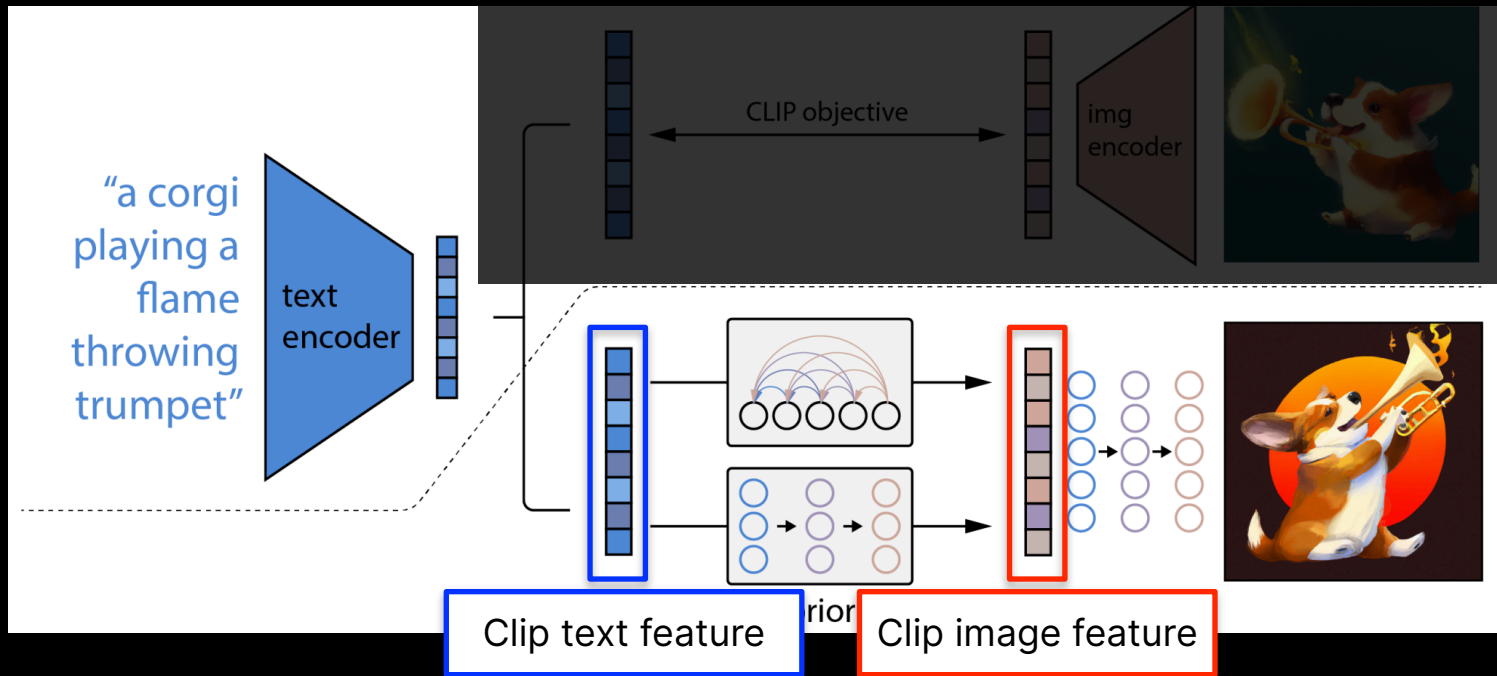
# DALLE-2 - Overview



# DALLE-2 - Overview



# DALLE-2 - Overview



# DALLE-2 - Importance of Prior Model



# DALLE-2 - Objective

$$P_{\theta}(\text{image}|\text{text}) = P_{\theta}(\text{image}, z|\text{text})$$



# DALLE-2 - Objective

$$P_{\theta}(\text{image}|\text{text}) = P_{\theta}(\text{image}, z|\text{text})$$

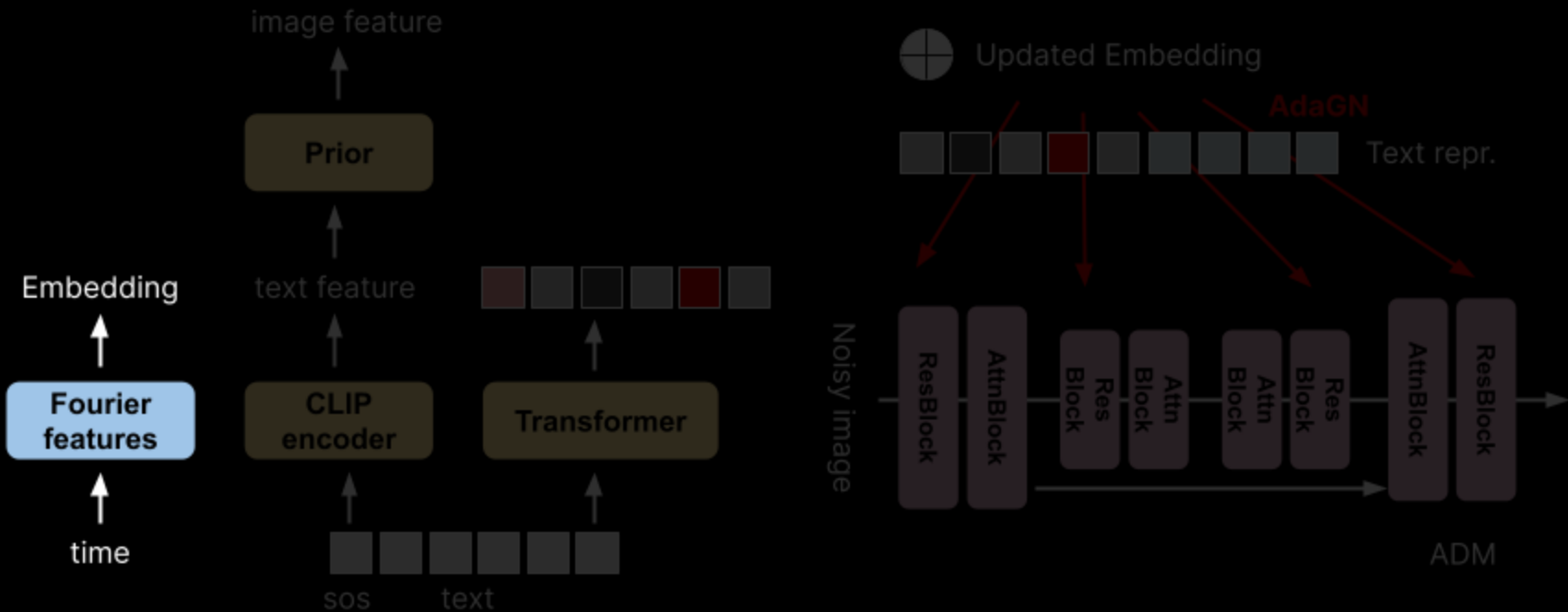
Deterministic variable!



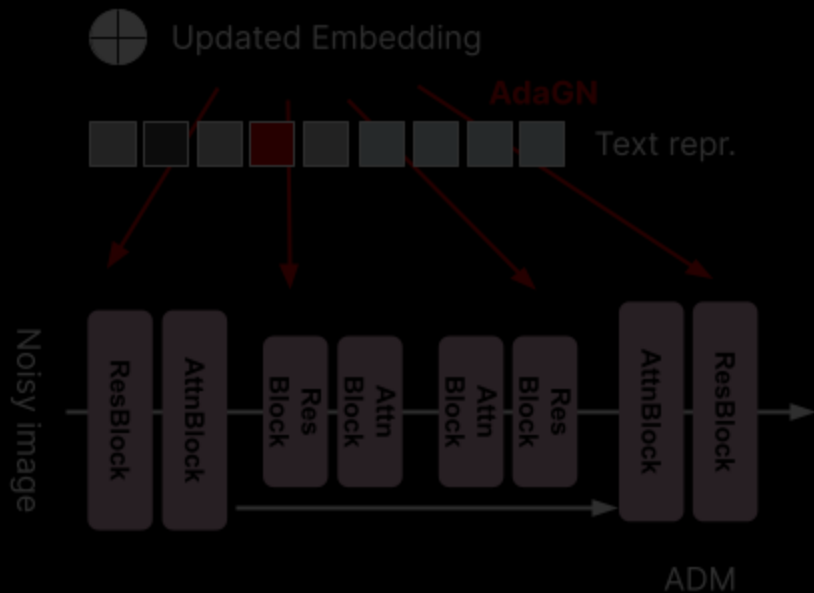
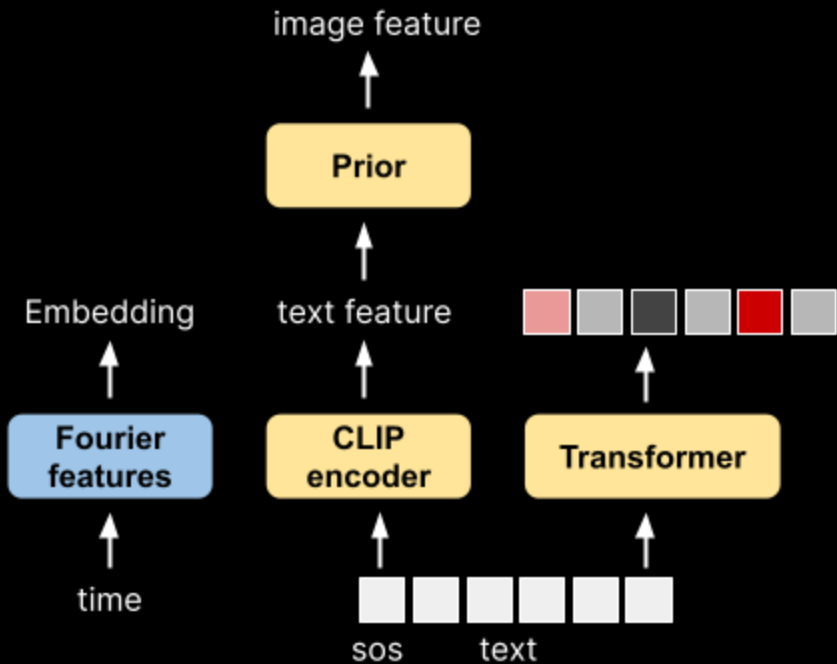
# DALLE-2 - Objective

$$\begin{aligned} P_{\theta}(\text{image}|\text{text}) &= P_{\theta}(\text{image}, z|\text{text}) \\ &= \underbrace{P_{\theta}(\text{image}|z, \text{text})}_{\text{Decoder}} \cdot \underbrace{P_{\phi}(z|\text{text})}_{\text{Prior}} \end{aligned}$$

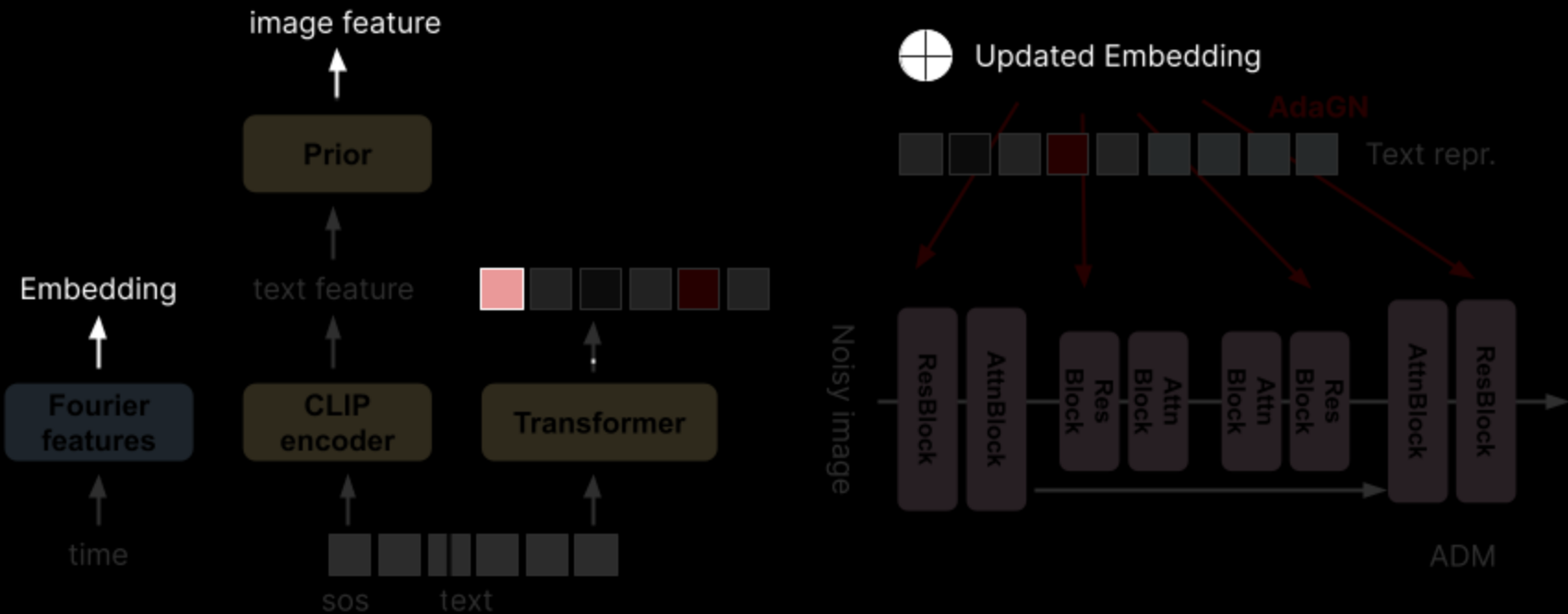
# DALLE-2 Architecture - Details



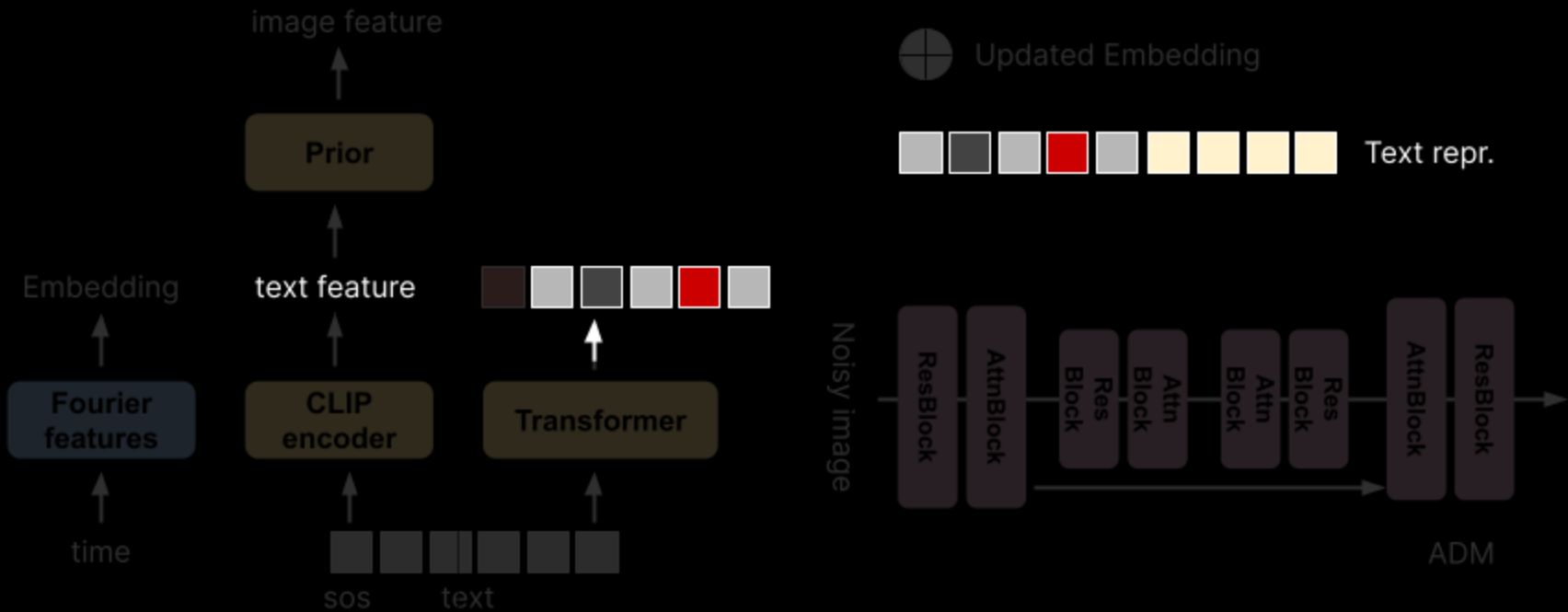
# DALLE-2 Architecture - Details



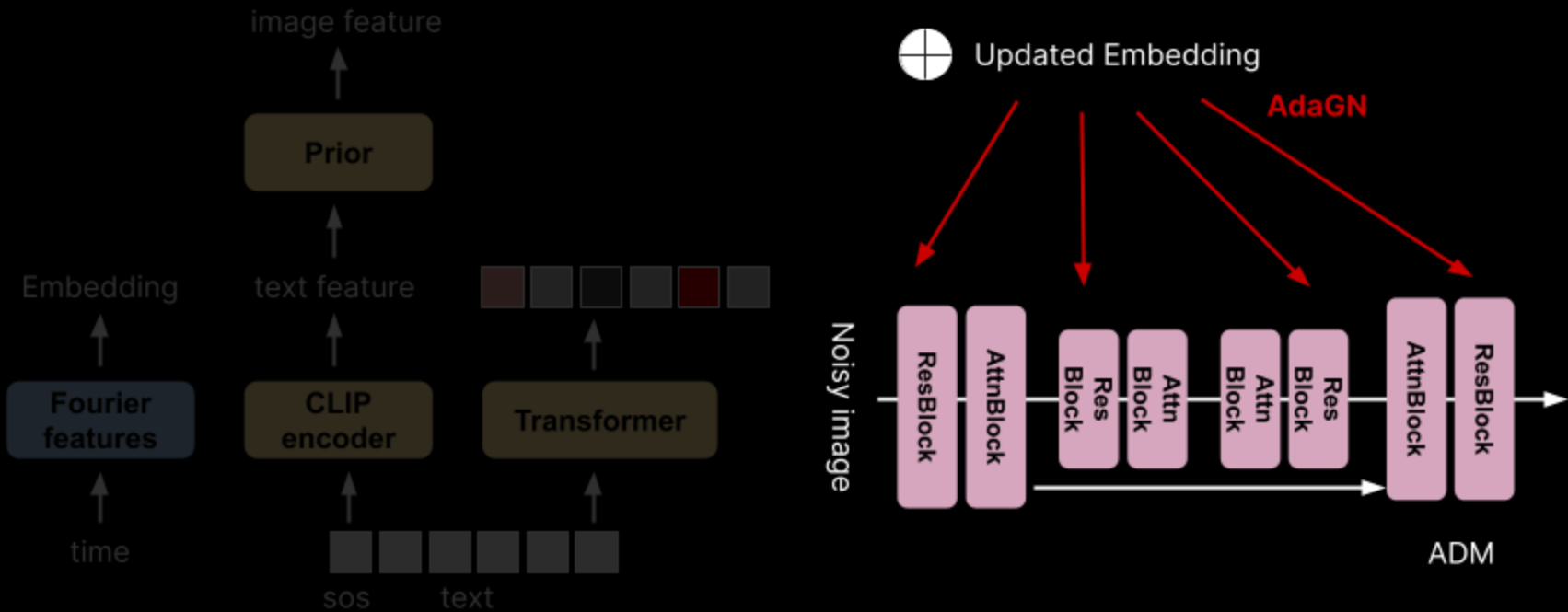
# DALLE-2 Architecture - Details



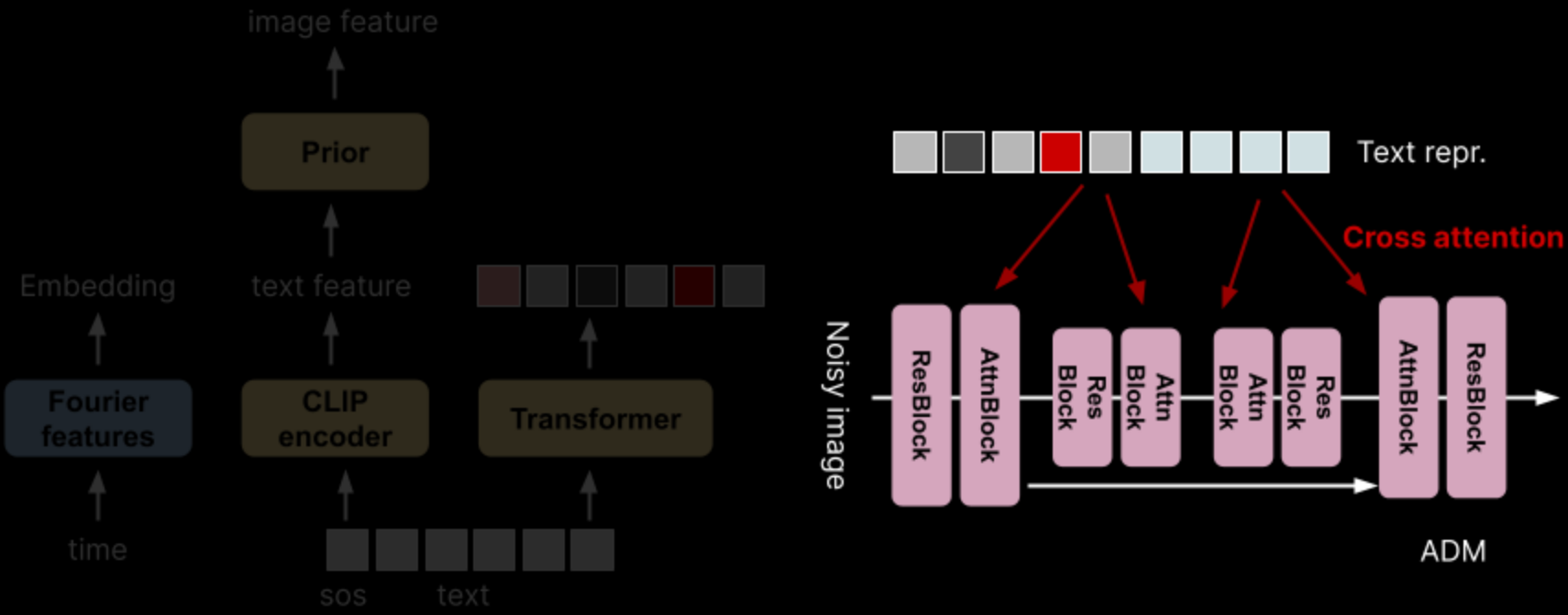
# DALLE-2 Architecture - Details



# DALLE-2 Architecture - Details



# DALLE-2 Architecture - Details



	Diffusion prior	64	64 → 256	256 → 1024
Diffusion steps	1000	1000	1000	1000
Noise schedule	cosine	cosine	cosine	linear
Sampling steps	64	250	27	15
Sampling variance method	analytic [2]	learned [34]	DDIM [47]	DDIM [47]
Crop fraction	-	-	0.25	0.25
Model size	1B	3.5B	700M	300M
Channels	-	512	320	192
Depth	-	3	3	2
Channels multiple	-	1,2,3,4	1,2,3,4	1,1,2,2,4,4
Heads channels	-	64	-	-
Attention resolution	-	32,16,8	-	-
Text encoder context	256	256	-	-
Text encoder width	2048	2048	-	-
Text encoder depth	24	24	-	-
Text encoder heads	32	32	-	-
Latent decoder context	-	-	-	-
Latent decoder width	-	-	-	-
Latent decoder depth	-	-	-	-
Latent decoder heads	-	-	-	-
Dropout	-	0.1	0.1	-
Weight decay	6.0e-2	-	-	-
Batch size	4096	2048	1024	512
Iterations	600K	800K	1M	1M
Learning rate	1.1e-4	1.2e-4	1.2e-4	1.0e-4
Adam $\beta_2$	0.96	0.999	0.999	0.999
Adam $\epsilon$	1.0e-6	1.0e-8	1.0e-8	1.0e-8
EMA decay	0.9999	0.9999	0.9999	0.9999



# Sample Examples (from reddit/Dall-e-2)

Sample



808

Posted by u/cench 15 days ago 🐱 📷 2 ❤️ 🌟

An orange cat staring at a drawer filled with socks on fire, high-resolution photo



113 Comments



Award



Share



Save

...



732



Posted by u/Wiskkey 14 days ago

“a painting by Grant Wood of an astronaut couple, american gothic style”



30 Comments



Award



Share



Save

...

Sample

2)

Sample



630

Posted by u/danielbln [dalle2 user](#) 7 days ago

happy racoons wearing colourful turtlenecks



32 Comments Award Share Save ...

# DALLE-2 Architecture - Limitation



(a) A high quality photo of a dog playing in a green field next to a lake.



(b) A high quality photo of Times Square.



# Conclusion

Diffusion Models (DDPM, GLIDE, DALL-E 2)

